

BOARD OF GOVERNORS

Governance, Nominations & Human Resources Committee (GNHR)

October 30, 2025 2:00 p.m. - 5:00 p.m. Via Videoconference **Public Registration Link**

Members: Gaurav Singh (Chair), Frank Carnevale (Vice-Chair), Laura Elliott,

Mitch Frazer, Lorraine Gray, Neeraj Grotra, Steven Murphy, Dwight

Thompson

Kirstie Ayotte, Jamie Bruno, Nicola Crow, Krista Hester, Jennifer Staff:

MacInnis, Lori Livingston, Sarah Thrush

AGENDA

No.	Topic	Lead	Allocated Time	Suggested Start Time
PUBLIC SESSION				
1	Call to Order			
2	Agenda (M)	Chair	5	2:00 p.m.
3	Conflict of Interest Declaration			
4	Chair's Remarks	Chair	5	2:05 p.m.
5	President's Remarks	Steven Murphy	10	2:10 p.m.
6	GNHR Annual Terms of Reference Review* (I)	Nicola Crow	5	2:20 p.m.
7	Compliance and Policy			
7.1	2024-2025 Annual Consolidated Human Rights Office Report* (I)	Andrew Sunstrum	10	2:25 p.m.
7.2	Respectful Campus Policy & Procedures* (M)	Andrew Sunstrum	10	2:35 p.m.
8	Consent Agenda (M):			
8.1	Minutes of the Public Session of the Meeting of June 13, 2025* (M)	Chair		
9	Adjournment (M)	Chair		2:45 p.m.
BREAK – 5 MINUTES				

No.	Topic	Lead	Allocated Time	Suggested Start Time
NON-PUBLIC SESSION (material not publicly available)				
10	Call to Order	Chair		0.50
11	Conflict of Interest Declaration	5	5	2:50 p.m.
12	Chair's Remarks	Chair		
13	President's Remarks	Steven Murphy	10	2:55 p.m.
14	Strategic Conversation: The Journey Towards Augmented HR at Ontario Tech (D)	Jamie Bruno	30	3:05 p.m.
15	Governance			
15.1	2025-2026 Board of Governors PD Plan* (D)	Nicola Crow	15	3:35 p.m.
15.2	2025-2026 GNHR Workplan Review* (D)			
15.3	Governance Update* (I)			
15.4	Executive Committee Terms of Reference Amendment* (M)			
16	Nominations			
16.1	Governor Re-Appointment* (M)		5	3:50 p.m.
16.2	Committee Appointment* (M)	Nicola Crow		
17	Human Resources			
17.1	HR Update (I)	Jamie	10	3:55 p.m.
17.2	Labour Relations Update (I)	Bruno		
18	Consent Agenda (M):			
18.1	Minutes of the Non-Public Session of the Meeting of June 13, 2025* (M)	Chair	5	4:05 p.m.
18.2	GNHR 2025-26 Work Plan & Action Points* (I)			
19	In Camera Session (M)	Chair	10	4:10 p.m.
20	Termination (M)	Chair		4:15 p.m.

Nicola Crow, University Secretary



COMMITTEE REPORT

SESSION:		ACTION REQUESTED:	
Public Non-Public		Decision	
TO:	Governance, Nominations & Human Resources Committee (GNHR)		
DATE:	October 30, 2025		
FROM:	Nicola Crow, University Secretary		
SUBJECT:	Agenda Item# 6: GNHR Annual	Terms of Reference Review	

EXECUTIVE SUMMARY:

GNHR's responsibilities under its <u>Terms of Reference</u> include providing advice to the Board on its governance structure and processes, and matters pertaining to the organization of the Board and its committees. As part of the Committee's mandate, it must conduct a periodic review of its Terms of Reference and recommend revisions to the Board when appropriate. At this first Committee meeting of the Board year, the Committee is asked to conduct its annual review of its Terms of Reference as part of good governance practices.

KEY CONSIDERATIONS:

- The last revision to the Terms of Reference was made in June 2021 in the context of the restructuring of the Board's Audit & Finance and Investment Committees.
- The GNHR may wish to consider the following question when reviewing this report.
 - Do the GNHR Terms of Reference accurately reflect the roles and responsibilities of the GNHR and good governance practices?

COMPLIANCE WITH POLICY/LEGISLATION:

The Terms of Reference are compliant with the Act and By-laws.

NEXT STEPS:

• The University Secretary will record any recommendations arising from the annual review, if any, and present any recommendations to the Board of Governors.

SUPPORTING MATERIALS:

GNHR Terms of Reference



COMMITTEE REPORT

SESSION:		ACTION REQUESTED:	
Public		Decision	
TO:	Governance, Nominations & Human Resources Committee (GNHR)		
DATE:	October 30, 2025		
FROM:	Andrew Sunstrum, Director, Hu	ıman Rights Office	

COMMITTEE MANDATE:

SUBJECT:

• GNHR's Terms of Reference state that the Committee's mandate includes the establishment of human resources policy instruments.

Agenda Item #7.1: Annual Human Rights Report 2024-2025

 The Human Rights Office has oversight over the University's Human Rights and Student Sexual Violence Programs, which includes handling human rights and student sexual violence issues in accordance with these policies.

BACKGROUND/CONTEXT & RATIONALE:

The Human Rights Office plays a significant role in advancing the strategic objective of creating a sticky campus. This work is done with a focus on initiatives to improve the culture within which students learn and employees work. The Human Rights Office focusses on how the university improves its culture by increasing its capacity to manage conflict and promote respect. The purpose of the Annual Report is to communicate dispute statistics in order to track progress and to support continuous improvement.

ALIGNMENT WITH MISSION, VISION, VALUES & STRATEGIC PLAN:

- The Annual Report supports the university's values of integrity and respect by demonstrating the university's commitment to establishing a safe, inclusive, and equitable culture at the institution.
- By demonstrating the seriousness in which the University places on safeguarding human rights, this report also supports the strategic pillar of creating a "sticky campus". If we want to encourage the university community to spend time on campus, they must feel protected and confident that human rights issues are being dealt with appropriately.

SUPPORTING MATERIALS:

2024-2025 Annual Human Rights Report

Annual Report

2024-2025

Human Rights



TABLE OF CONTENTS

PART I – OVERVIEW	2
PART II – STATUS UPDATE	2
1. Role of the Human Rights Office	2
2. Initiatives and Programming Highlights	3
PART III – DATA & TRENDS	4
1. Human Rights Issues	5
2. Student Sexual Violence Issues	6
3. Intervention Type	7
4. Issue Source	8
5. Protected Grounds	8
PART IV – ANTI-RACISM/ANTI-HATE	10
PART V – CONCLUSIONS	11

Appendices:

A. Student Sexual Violence Supports, Services & Programming



PART I OVERVIEW

As outlined in the University's Respectful Campus Policy and Student Sexual Violence Policy and Procedures, Ontario Tech University is committed to providing an annual report to the Board of Governors on certain information and statistics regarding the implementation of the University's human rights program and data related to human rights-based complaints and consultations, as well as data concerning the University's student sexual violence program, including a compilation of data and information from internal partners that share responsibility for addressing and responding to sexual violence at the University. In addition, this year the report includes specific reporting regarding the University's Anti-Racism/Anti-Hate program. This is done to assist the Board of Governors and other University members to understand the state of the University's human rights and student sexual violence programs and identify progress and trends from year to year, and to meet the University's legislative reporting obligations.

This annual report covers the period between April 1, 2024, and March 31, 2025. The report will be posted to the University's website and submitted to the Ministry of Colleges, Universities, Research Excellence and Security.

PART II STATUS UPDATE

1. ROLE OF THE HUMAN RIGHTS OFFICE

Ontario Tech University's Human Rights Office (HRO) serves as the cornerstone for overseeing the University's human rights and student sexual violence programs. Through its oversight, it ensures a consistent and equitable approach to addressing human rights issues raised by all members of the University community, including faculty, staff, students, and visitors and plays a pivotal role in upholding and fostering a culture of respect and inclusivity across the campus.

In alignment with the University's commitment to a "Sticky Campus" the HRO administers a comprehensive and accessible dispute resolution program that is designed to effectively address concerns related to harassment, discrimination, sexual violence, microaggressions, and other forms of conflict or misconduct.

The HRO plays a crucial role in prompting institutional capacity to identify, address, and resolve human rights-related disputes and conflict. It provides confidential advice and guidance to any university member who is experiencing, witnessed or has received information about alleged harassment, discrimination, sexual violence, microaggressions and related conflict/disputes.



The services offered by the HRO range from de-escalation and facilitated dialogues to investigations and tailored training/education programs. This wide array of services is designed to respond to the unique needs of individuals and the University community, fostering an environment where human rights are upheld and respected. Through its work, the HRO promotes a culture of understanding, accountability, and mutual respect, ultimately contributing to a more inclusive and harmonious campus atmosphere.

2. INITIATIVES AND PROGRAMMING HIGHLIGHTS

Throughout the 2024-2025 period, the HRO continued to place a strong emphasis on expanding the reach and impact of its services across the University community. With an overarching focus on enhancing awareness, the HRO implemented several key initiatives aimed at educating and empowering students, faculty, and staff on critical human rights and sexual violence issues. These initiatives not only sought to raise visibility for the HRO's services but also addressed the evolving needs of the University community through new training, awareness campaigns, and policy development, designed to foster a deeper understanding of equity, inclusion, and respect on campus.

One of the most prominent achievements was the launch of an ongoing campus-wide social media, digital signage and poster campaign to highlight and promote the role of the HRO in addressing discrimination, harassment and student sexual violence on campus. The posters, launched across multiple platforms, contain a QR code for easy access to the HRO's website, and the online forms to request a consultation meeting or report a concern.

This campaign significantly enhanced visibility and accessibility, particularly for students and staff who may be uncertain about where to turn for support. By integrating messaging into the daily campus environment, both physical and digital, the initiative helped normalize conversations about human rights and sexual violence prevention, reducing stigma and encouraging individuals to seek assistance early.

For faculty and staff, the campaign reinforces the University's commitment to a respectful and inclusive workplace, while equipping them with the knowledge and pathways needed to respond appropriately when issues arise. By making the HRO's services more visible and accessible, the campaign supports a culture of shared responsibility, one in which all members of the University community play a role in maintaining a safe, equitable, and respectful environment.

In keeping with this approach, the HRO also increased its presence during student orientation activities, including with the creation and distribution of branded swag items. Collaborative partnerships with student organizations, academic departments, and administrative units further strengthened the HRO's ability to provide tailored education and responsive support. The HRO further enhanced its direct outreach to students by increasing its promotion of the university's gender-based violence training modules,



including the introduction of a gift card draw incentive for students completing the training during the beginning of term. Together, these initiatives served to double student sexual violence training completion rates.

For students, the campaign and the training serve as an important reminder that help is readily available, fostering a greater sense of safety and belonging on campus. It empowers them to recognize and address inappropriate or harmful behaviours, and to engage with resources that support their well-being and academic success.

Throughout the 2024-2025 period, the HRO also focused heavily on its long-standing commitment to continuous improvement. The HRO finalized updates to the Student Sexual Violence Policy and Procedures after prolonged and in-depth consultations with the entire campus community. Within the reporting period, the HRO also developed and launched a new guideline to complement the Respectful Campus Policy to provide guidance surrounding racism and hate incidents.

Finally, in an effort to increase efficiency, transparency, and data collection and reporting capability, the HRO initiated a project to procure and implement a comprehensive complaint management system. Once launched, this system will streamline intake and case management processes, strengthen recordkeeping and tracking, and improve the HRO's ability to monitor trends and report on outcomes. Together, these initiatives underscore the HRO's proactive approach to ensuring that its policies, procedures, and systems remain responsive, effective, and reflective of the University's commitment to human rights and respect across all areas of campus life.

The combined efforts from the 2024-2025 period illustrate the HRO's proactive approach to fostering an inclusive, informed, and supportive environment at Ontario Tech University and continued commitment to cultivating a campus environment where every individual is treated with dignity and where human rights principles are embedded in the fabric of University life. Through strategic education, communication, and resource development, the HRO continues to play a critical role in promoting human rights and addressing sexual violence within the campus community.

PART III DATA & TRENDS

There are five (5) issue types that fall under the HRO's accountability: Discrimination, Duty to Accommodate, Harassment, Student Sexual Violence, and issues of a general nature involving Human Rights¹ that are reported on in this report.

¹ The "General" category broadly includes consultations regarding human rights principles or inquiries of a programmatic nature, e.g. requests to review policy instruments or training materials to ensure human rights compliance, questions about legislative and policy interpretation, procedural inquiries, etc.



Aggregate data on the resolution of issues is a key component of this report as it provides an annual snapshot of the human rights issues and concerns brought forward by University Members for resolution. The reporting period is the University's fiscal year; April 1, 2024 – March 31, 2025.

1. HUMAN RIGHTS ISSUES

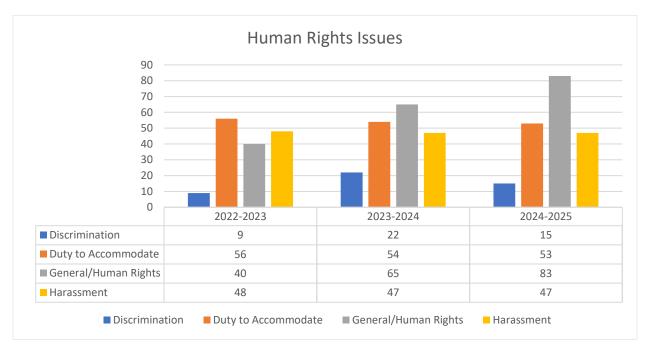


Table: 1

In the 2024-2025 fiscal year, there were 250 human rights issues brought forward. This represents a slight upward trend from last year, which saw 240 matters brought forward. However, this remains 20% above the volume in 2022-2023.

Notably, there were year over year increases in general human rights inquiries (increased from 65 to 83), while discrimination issues declined from 22 to 15. This shift suggests that more members of the University community are seeking early advice, consultation, and informal resolution rather than waiting until situations escalate to formal complaints. The trend aligns with the HRO's efforts to enhance education, accessibility, and early intervention, supported by expanded outreach, updated training, and the launch of new guidelines under the Respectful Campus Policy addressing racism and hate incidents. As a result of these initiatives more members of the community may now be recognizing and reporting concerns that might previously have gone unaddressed.



2. STUDENT SEXUAL VIOLENCE ISSUES

The University tracks disclosures and reports of student sexual violence across the institution.

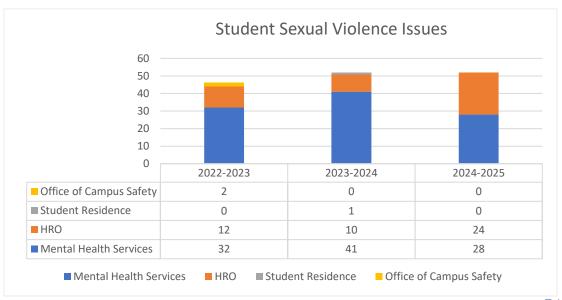


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There were 52 disclosures and reports of student sexual violence in 2024-2025, consistent with the previous year, and a marginal increase from the 2022-2023 reporting period. This continued stability suggests that while the prevalence of incidents remains relatively steady, the environment for disclosure has become increasingly supportive. The finalized updates to the Student Sexual Violence Policy and Procedures, coupled with renewed outreach to students and service providers, likely contributed to maintaining awareness and confidence in the University's reporting and support mechanisms. Overall, these patterns indicate that the University's prevention, education, and policy initiatives are helping to foster a culture in which individuals feel more informed, supported, and empowered to come forward.

The disclosures and reports² were received and addressed as follows:

- Mental Health Services received 28 disclosures for counselling supports. 1 of these incidents was classified as occurring in the last 12 months; 27 were classified as historical. All 28 occurred off campus.
- The HRO received 17 disclosures (5 anonymous) and 7 reports of student sexual violence.

² The University's Student Sexual Violence Policy and Procedures distinguish between a "disclosure," which is telling a trusted individual about an incident of sexual violence to access support services; and a "report," which is a request that the University intervene to resolve a complaint.



- When in receipt of a disclosure, the HRO facilitates an individual's access to internal supports and resources, such as safety planning, mental health services and accommodations, as well as external resources available within the community.
- 4 reports were investigated by the HRO; 3 investigations into allegations of sexual harassment found a breach of policy.

3. INTERVENTION TYPE



The HRO records six main types of interventions applied to matters received by the office:

- 1. Consultation: the act of reaching out to the HRO for advice or information.
- 2. Assessment: An HRO analysis of an issue to determine whether the matter triggers human rights obligations and/or requires intervention.
- 3. Early Resolution: when the HRO works with parties to resolve complaints prior to a formal complaint, or to assist persons of authority address incidents/concerns in a manner consistent with human rights obligations.
- 4. Voluntary Resolution: when the HRO employs a structured process, such as a mediation or restorative justice process, to resolve a complaint to the satisfaction of the parties in dispute.
- 5. Investigation: when the HRO ensures an investigation to determine whether a policy violation has occurred.
- 6. Disclosures: incidents of student sexual violence disclosed to obtain supports and resources.

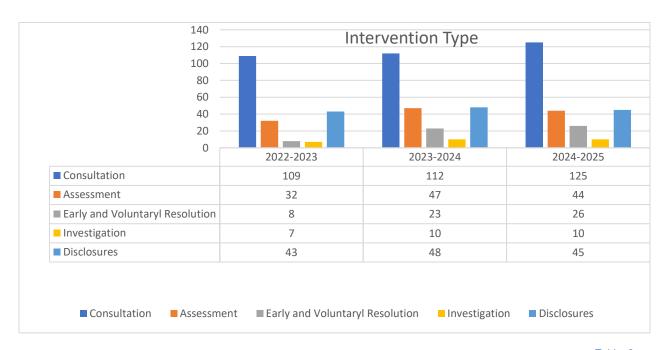


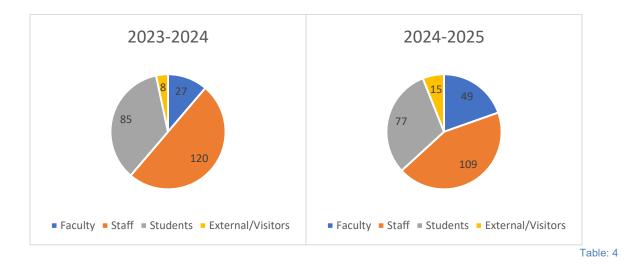
Table: 3



The number of consultations undertaken by the HRO remained consistently high as a percentage of total interventions, indicating the important role the HRO plays in providing support to the university community. This is also reflected in the continued high volume of Early and Voluntary Resolutions. Combined, these trends are reflective of the HRO's focus on efforts to prevent and resolve issues prior to dispute escalation.

4. ISSUE SOURCE

The HRO provides services to all members of the University community, including faculty, staff, students and visitors. The following table shows the source of issues that were brought forward in the reporting year. There was a notable increase in issues brought forward by individuals external to the university (we are seeing a trend of external concerns related to social media use by university members) and at the faculty level.



5. PROTECTED GROUNDS

Protected Grounds are the grounds contained in the *Ontario Human Rights Code* (OHRC) under which individuals are protected against discrimination and harassment. Most, but not all, of the complaints and concerns raised to the HRO cite one or more Protected Grounds. Additionally, the HRO intervenes to address incidents and complaints that fall under other legislation, but overlap with the OHRC, including the *Occupational Health and Safety Act* (Workplace Sexual Harassment) and the *Ministry of Training, Colleges and Universities Act* (Student Sexual Violence).

A number of consultations completed by the HRO are of a general human rights nature in which no specific protected ground is cited – these are captured in the following table



under the "unspecified" column. The HRO is also accountable for addressing incidents of workplace harassment, in which a connection to a protected ground is not required.

It should be noted that the number of protected grounds cited may not correspond directly to the number of cases received. This is because multiple protected grounds may be cited by individuals who come forward in respect of a case.

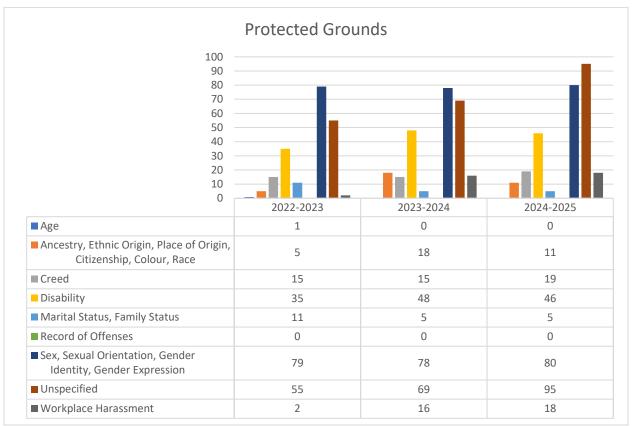


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The increase in Workplace Harassment issues is largely reflective of a broad lack of insight regarding the difference between workplace harassment and disrespect across the University. Of the 18 matters identified in the reporting period, only 1 report was confirmed to be workplace harassment after an investigation. During the next fiscal reporting period the HRO will release training modules to assist the campus community in understanding the difference between harassment and incivility, as well as guidance to support individuals with conflict de-escalation techniques.

The 3-year upward trend in 'unspecified' matters highlights the positive and constructive role the HRO plays as a trusted source of advice and guidance for the University community. The HRO will continue to monitor these trends and will direct education supports as needed. Many individuals now seek preliminary consultation to clarify their



options or better understand policy definitions before determining whether to proceed formally.

This pattern reflects increased awareness of the HRO's services and confidence in its accessibility and neutrality. Moving forward, the HRO will continue to monitor these trends closely, using the data to identify emerging issues and to target education and capacity-building initiatives where they are most needed across the University.

PART IV ANTI-RACISM/ANTI-HATE

Introduced in September 2024, the Anti-Racism/ Anti-Hate Directive for Publicly-Assisted Colleges and Universities, issued by the Minister pursuant to subsection 20 (4) of the Ministry of Training, Colleges and Universities Act, requires universities to provide their Board with annual reporting that includes information about their Anti-Racism/Anti-Hate programs by January 31, 2026.

Ontario Tech University continues to advance its commitment to fostering an inclusive, respectful, and equitable campus environment through a series of anti-hate and anti-racism initiatives. Building on the principles of equity, diversity, inclusion, and belonging, the University has undertaken coordinated efforts to raise awareness, strengthen accountability, and support those affected by incidents of racism and hate. These efforts include the development of a new Guideline on Responding to Racism and Hate Incidents, designed to complement the Respectful Campus Policy by providing clear definitions, reporting pathways, and support options for those impacted.

The HRO is in the process of expanding education and outreach initiatives that promote anti-racist learning and dialogue. Through partnerships with student groups, academic units, and community organizations, Ontario Tech continues to foster meaningful conversations and collective action to counter discrimination and hate in all its forms.

These initiatives reflect Ontario Tech's ongoing dedication to ensuring that every member of its community feels valued, respected, and safe—and to embedding anti-racism and anti-hate principles in the University's policies, culture, and daily practices.

During the reporting period there were 15 matters categorized solely under Creed, 5 matters categorized under Race Related Grounds (Ancestry, Ethnic Origin, Place of Origin, Citizenship, Colour and/or Race), and 4 matters that are categorized under both Creed and Race Related Grounds.

Of the 24 matters received by the HRO related to Creed and Race Related Grounds, 8 involved creed accommodation consultations and assessments, there were 3 additional consultations, and 6 were related to alleged discrimination.



The remaining 7 matters were classified as harassment and breakdown as follows:

- Creed: 3 alleged Islamophobia 2 from students (verbal) and one from external (social media)
- Creed: 1 from student alleged Antisemitism (verbal)
- Creed: 1 from student alleged Proselytizing (verbal)
- Race Related Grounds: 1 from student alleged Anti-Black Racism (online)
- Race Related Grounds: 1 from student alleged Anti-Asian Racism (social media)

5 reports did not proceed after an assessment, 1 report was successfully settled to the satisfaction of the complainant and 1 was investigated. The investigation was completed over a 2-month period and concluded that a student breached the Respectful Campus Policy, resulting in discipline.

PART V CONCLUSIONS

We conclude by acknowledging the numerous University Members who assist the HRO in our goal to create and reinforce an inclusive campus for all. Preventing harassment, discrimination and gender-based violence is a collective effort, and everyone at the University has a role to play. We continue to encourage all University Members to act with respect and to call out inappropriate behaviour if it occurs. Together, we can continue to ensure a positive campus environment.



APPENDIX A – STUDENT SEXUAL VIOLENCE SUPPORTS, SERVICES & PROGRAMMING³

Advisory Committee on Implementation and Effectiveness of the Policy & Program

The Advisory Committee on Student Sexual Violence Prevention and Support, established in December 2017, is mandated to ensure the university's Student Sexual Violence Policy and Program are reviewed on a regular basis and continuously improved upon. This year, the Committee was composed of 6 students, 1 representative from the Ontario Tech Student Union, 8 staff from across the university, 1 community partner and 1 alumnus.

2. Counselling Supports and Accommodations:

Support Workers, through the university's Student Mental Health Services, support students who have experienced sexual violence, including working with Student Accessibility Services to ensure academic accommodations are put in place when necessary. All counsellors participate in ongoing training in trauma-informed therapy and have experience working with survivors of sexual assault. In addition, an Outreach Worker in the residence works very closely with the Support Workers in providing onsite support for students living in residence.

3. Mandatory Training Modules:

New students are required to take online training modules designed by the HRO to ensure students know what student sexual violence is, how to obtain support and services if they experience sexual violence, and how to report a concern. The HRO organizes a communications and incentive strategy to promote student completion of the modules.

The modules are also mandatory for faculty members and staff and ensure that everyone in the campus community has the same general knowledge about supports and services available to students who experience sexual violence.

4. Awareness and Programming

Efforts to raise awareness and educate students are also driven by the Student Engagement and Equity Team in the Office of the Deputy Provost. Initiatives to raise awareness and educate on sexual violence included:

• #WeGetConsent Awareness Week Workshops: At the beginning of the Fall semester, Student Engagement and Equity hosts our #WeGetConsent Awareness Week which focuses on educating our campus community about sexual violence prevention and our institution's sexual violence policy. This year, 8 workshops were delivered between September 16-20, 2024.

³ Sections 17 (7) and (7.1) of the Ministry of Training, Colleges and Universities Act note that universities are to provide their Board of Governors with an annual report including information about their student sexual violence initiatives and programs



- #WeGetConsent Online Campaign: The #WeGetConsent online campaign continued this year with several initiatives throughout the year aimed at educating students about consent and sexual violence prevention. Programming included social media posts and scavenger hunts aimed at continuing the consent conversation online and encouraging students to share their own understanding of consent and ways they can be upstanders should they observe anyone causing harm.
- #LetsTalk about Safer Sex: Health Promotion's Peer Wellness Education Team ran a sexual health outreach booth during a collaborative STI testing drive with Durham College. The goal of this campaign is to teach students about safer sex practices. Peer Wellness Educators cover consent, STIs, methods of STI protection and provide examples of common types of contraception.
- RISE: Sexual Violence Prevention: The RISE (Respecting Inclusivity and Supporting Equity) program, offered by the Student Engagement and Equity Team, is a series of workshops focusing on the development of by-stander intervention strategies. Students are encouraged to attend these workshops to earn a RISE Certificate officialised by the University. RISE Topics include: two mandatory workshops RISE: Let's Talk Equity and RISE: First Peoples. As well, seven elective workshops RISE: Anti-Ableism, RISE: Anti-Racism, RISE: Consent and Sexual Violence Prevention, RISE: Feminism, RISE: Health Equity, RISE: Sexual Orientation, and RISE: Trans Identities. A total of 23 students participated in the RISE workshops in 2024-2025.
- **Pride Space:** In collaboration with the Ontario Tech Pride Club, Pride Space is a place for all 2SLGBTQ+ students and allies to come together and create a space of belonging within the university community. This space was offered in Fall 2024, a total of 68 students participated.





COMMITTEE REPORT

SESSION:		ACTION REQUESTED:	
Public		Decision Discussion/Direction Information	
TO:	Governance, Nominations & Human Resources Committee (GNHR)		
DATE:	October 30, 2025		
Lead:	Andrew Sunstrum, Director, Human Rights Office		
SUBJECT:	Agenda Item #7.2: Respectful C (2025 review)	ampus Policy & Procedur	es

COMMITTEE MANDATE:

- Under the University's Act, section 9 (1), the Board of Governors has the power: "to establish academic, research, service and institutional policies and plans and to control the manner in which they are implemented". The University's Policy Framework is a key institutional policy that delegates the Board's power, establishing categories of policy instruments with distinct approval pathways.
- In accordance with its Terms of Reference, one of GNHR's mandates includes the establishment of human resources policy instruments.

KEY CONSIDERATIONS:

- GNHR is requested to deliberate and recommend for approval to the Board of Governors the revised Respectful Campus Policy.
- GNHR is requested to review and approve the associated revised Procedures.

MOTION FOR CONSIDERATION:

That the Governance, Nominations & Human Resources Committee, hereby approves the amendments to the Procedures to Prevent and Address Discrimination and Harassment by or Against Employees and the Procedures to Prevent and Address Discrimination and Harassment by or Against Students, and recommends the approval of the amendments to the Respectful Campus Policy by the Board of Governors, as presented.

ALIGNMENT WITH MISSION, VISION, VALUES & STRATEGIC PLAN:

 These policy instruments support the University's values of integrity and respect by demonstrating the University's commitment to establishing a safe, inclusive, and equitable culture at the Institution.

BACKGROUND/CONTEXT & RATIONALE:

The Human Rights Office plays a significant role in advancing the strategic objective of creating a sticky campus. This work is done with a focus on initiatives to improve the culture within which students learn and employees work. The purpose of the Respectful Campus Policy is to communicate the University's Human Rights responsibilities and obligations in a central document that applies to all University Members.

A further purpose is to communicate the University's commitment to preventing and addressing incidents of harassment and discrimination within the University.

In accordance with legislative requirements the University undertakes an annual review of the Policy and Procedures to ensure that they continue to meet the needs of the University Community and are in compliance with the University's legal obligations.

Arising from this year's review, certain revisions to the Policy instruments are being proposed that primarily relate to the following two areas:

- 1. ensuring alignment with the Anti-Hate/Anti-Racism Guidelines that were approved last year, i.e., adopting language from the Guidelines; and,
- 2. enhancing clarity related to the dispute resolution process.

CONSULTATION PROCESS:

The consultation path for the Policy and Procedures was as follows:

- o Policy Advisory Committee (September 22, 2025)
- Faculty Association (are required by the Collective Agreement) (September 17, 2025)
- o Academic Council (September 23, 2025)
- Online Consultation (September 23 to October 3, 2025)
- Joint Occupational Health and Safety Committee (October 3, 2025)
- Senior Leadership Team (Consultation)
- President (Deliberation on Procedures)
- o GNHR (October 30, 2025 Approval of Procedures, Deliberation on Policy)

Attached as Appendix A is a document showing feedback obtained on the proposed revisions to the policy instruments.

NEXT STEPS:

• Board of Governors (December 4, 2025 – Approval of Policy)

SUPPORTING MATERIALS:

- Respectful Campus Policy (blackline and clean versions)
- Procedures to Prevent and Address Discrimination and Harassment by or Against Employees (blackline and clean versions)
- Procedures to Prevent and Address Discrimination and Harassment by or Against Student (blackline and clean versions)
- Appendix A: Consultation Feedback Chart

Respectful Campus Policy

Classification number LCG 1107

Framework category Legal, Compliance and Governance

Approving authority Board of Governors

Policy ownerGeneral CounselApproval dateApril 22, 2021Review dateApril 2024

Last updated February 13, 2023

Purpose

The Respectful Campus Policy ("the Policy") outlines Ontario Tech University's ("the University") commitment to promote and sustain a respectful and inclusive campus in accordance with the Ontario Human Rights Code ("the Code"), the Accessibility for Ontarians with Disabilities Act, the Occupational Health and Safety Act ("OHSA"), and the Ministry of Training, Colleges and Universities Act. The purpose of the Policy is to ensure the campus community is familiar with their various rights, roles, responsibilities and obligations as they relate to preventing and responding to all forms of Discrimination and Harassment.

Definitions

For the purposes of this Policy and associated procedures and guidelines, the following definitions apply:

"Barriers" include attitudes (stereotypes or prejudices), policies, practices, rules and designs that prevent full participation of individuals or groups on the basis of a Protected Ground (defined below).

"Complainant" refers to an individual who is alleged to have experienced a breach of the Policy.

"Discrimination" is a distinction, without lawful justification, whether intentional or not, which has the effect of denying benefits to, or otherwise disadvantaging, an individual on the basis of a Protected Ground. Discrimination may involve direct actions that are discriminatory on their face, or it may involve rules, practices or procedures that appear neutral, but have the effect of disadvantaging one or more groups of people.

"Discriminatory Harassment" means engaging in a course of vexatious comment or conduct based on any Protected Ground, that is known or ought reasonably to be known to be unwelcome. Discriminatory Harassment may include, for example, taunting or mocking someone's race; making, distributing, or posting Hate; ridiculing an individual's

disability; or, targeting others with sexual, gender-based or homophobic slurs. While Discriminatory Harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute Discriminatory Harassment.

- "Disrespect" is behavior that falls short of Harassment, but nevertheless has harmful impacts on the campus environment, e.g., rude, inconsiderate and passive aggressive behavior. Disrespect, if left unaddressed, can escalate to Discrimination or Harassment.
- "Duty to Accommodate" refers to the obligation to eliminate the disadvantage, to the point of undue hardship, caused by barriers that exclude individuals or groups protected under the Code from participating in all aspects of their employment, academic endeavors, or use of facilities and housing on campus. Failure to meet the Duty to Accommodate is a form of Discrimination. For more on the Duty to Accommodate see the University's Accommodation Policy.
- "Employee" means job applicants and individuals performing services directly on the University's behalf, including administrative staff members, Faculty, volunteers and contract employees. Students who are employed during the course of their studies, are "Employees" for the purposes of this Policy when they are engaged in employment activities, but not otherwise.
- **"Faculty"** includes a Faculty Members at the University and includes those with both limited term and indefinite term appointments, as well as those with paid, unpaid and honorific appointments. For greater certainty, "Faculty" also includes visiting scholars and emeritus professors.
- "Harassment" is the term used in this Policy to represent all forms of Harassment: Discriminatory Harassment, Reprisal, Sexual Harassment, Sexual Misconduct and Workplace Harassment.

For greater context, Harassment is objectionable and unwanted behaviour that is verbally abusive, vexatious or hostile, that is without reasonable justification, and that creates a hostile or intimidating environment for working, learning or living. Harassment may be intentional or unintentional.

Harassing behaviour includes, but is not limited to cumulative demeaning or intimidating comments, gestures or conduct; verbal aggression or yelling; bullying; threats to a person's employment or educational status, person or property; persistent comments or conduct, including ostracism or exclusion of a person, that undermines an individual's self-esteem so as to compromise their ability to achieve work or study goals; abuse of power, authority or position; sabotage of a person's work; humiliating initiation practices; hazing; calling someone derogatory names; spreading of malicious rumours or lies; or making malicious or vexatious complaints about a person.

Harassment does not include the exercise of appropriate managerial or supervisory direction, including performance management and the imposition of discipline;

constructive criticism; respectful expression of differences of opinions; reasonable changes to assignments or duties; correction of inappropriate behaviour; interpersonal conflict; instructional techniques such as irony, conjecture, and refutation, or assigning readings or other instructional materials that advocate controversial positions; and single incidents of thoughtless, petty or foolish words or acts that cause fleeting harm.

"Hate" for the purposes of this Policy and related procedures and guidelines means:

- a criminal offense committed against a person or property that is motivated in any part by bias, prejudice or hate based on race, national or ethnic origin, language, colour, religion, sex, age, mental or physical disability, sexual orientation, or any other similar factor;
- any communication used by a person or group that advocates or willfully promotes hatred based on colour, nationality or ethnic origin, race, religion and/or sexual orientation; hatred against any identifiable group, or the incitement of hatred against any identifiable group where such incitement is likely to lead to a breach of the peace and includes advocating genocide; and public communication that willfully promotes Antisemitism by denying, condoning, or downplaying the Holocaust; and/or,
- publishing or displaying or causing the publication or display before the public of any notice, sign, symbol, emblem or other similar representation that indicates the intention of the person to Discriminate or that is intended to incite others to Discriminate.

"JHSC" means the Joint Health & Safety Committee(s) at the University.

- "Mediation" is a structured process during which parties in dispute are assisted by a third-party to discuss a dispute and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate. Depending on the circumstances, Mediation may result in a signed agreement summarizing the agreed upon terms of settlement.
- "Microaggression" means a comment or action that negatively targets a group based on a Protected Ground (e.g. a racist, sexist or homophobic comment). Microaggressions may be intentional or accidental but are nonetheless harmful and stigmatizing to a certain group of people. If allowed to go unchallenged, Microaggressions may escalate to Discrimination and/or Harassment.
- "Person(s) of Authority" includes any person who has charge of a workplace, authority over another Employee or authority in the administration of education, including supervisors, managers, senior management and Faculty leadership (e.g. Deans, Associates Deans, etc.).
- "Poisoned Environment" is a form of indirect Discriminatory Harassment. It occurs when comments or conduct (including comments or conduct that are condoned or allowed to continue when brought to the attention of a Person of Authority), ridicule or

demean a person or group based upon a Protected Ground. The comments or conduct need not be directed at a specific person, and may be from any person, regardless of position or status.

"Protected Ground(s)" are the grounds contained in the Code under which individuals are protected against Discrimination and Harassment. All University Members are protected under the following Grounds: "race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, marital status, family status and disability." Employees are additionally protected under the Ground "record of offences."

"Racism" means an abuse of power and privilege based on an ideology of superiority/inferiority between a dominant race over a non-dominant or marginalized population. It marks one set of people as 'other' and 'different' and another set of people as 'normal' or 'better'.

"Report" refers to a reported violation of the Policy.

"Reprisal" is a form of Harassment that includes retaliation, coercion, dismissal, threats or intimidation of anyone who in good faith: raises complaints or concerns, exercises their rights, or participates in a remedial process under this Policy.

"Respect" refers to a standard of interpersonal communication and behaviour characterized by self-restraint and consideration for others.

"Respondent" refers to anyone who is alleged to have breached of the Policy.

"Sexual Harassment" means,

- engaging in a course of vexatious comment or conduct because of sex, sexual orientation, gender identity or gender expression, where the course of comment or conduct is known or ought reasonably to be known to be unwelcome;
- making a sexual solicitation or advance where the person making the solicitation or advance is in a position to confer, grant or deny a benefit or advancement and the person knows or ought reasonably to know that the solicitation or advance is unwelcome; or
- a reprisal or a threat of reprisal for the rejection of a sexual solicitation or advance where the reprisal is made or threatened by a person in a position to confer, grant or deny a benefit or advancement to the person.

Sexual Harassment includes but is not limited to, sexually suggestive or obscene remarks or gestures and negative stereotypical comments based on gender, sex, sexual orientation, gender identity and gender expression.

"Sexual Misconduct" refers to physical sexual relations with a Student, touching of a sexual nature of a Student or behaviour or remarks of a sexual nature toward a Student by

an Employee where, the act constitutes an offence under the Criminal Code of Canada, the act amounts to Sexual Harassment as defined in this Policy, or the act contravenes any other policy, rule or other requirement respecting sexual relations between Employees and Students, including the Student Sexual Violence Policy and the Ethical Conduct Policy.

"University Member" means any individual who at the time of the alleged Policy violation:

- is employed by the University or holds an appointment with the University, including paid, unpaid and/or honorific appointments ("Employee");
- is registered as a student, in accordance with the academic regulations of the University ("Student"); and/or
- Is otherwise subject to University policies by virtue of the requirements of a specific policy (e.g. Booking and Use of University Space) and/or the terms of an agreement or contract and includes visitors and guest speakers.

"Workplace" means any place where Employees engage in any facet of employment activity (e.g. recruitment, training, evaluation and development), including employment activities online, outside the normal place of work, and employment activities that occur outside of normal working hours.

"Workplace Harassment" means,

- engaging in a course of vexatious comment or conduct against an Employee in a workplace that is known or ought reasonably to be known to be unwelcome (arbitrators have interpreted this form of Workplace Harassment to encompass "serious actions with significant consequences" as opposed to "the normal abrasiveness of daily like in the workplace," examples of which have been found to include interpersonal conflict, personal animosity and employee feuds) and/or,
- Workplace Sexual Harassment defined as Sexual Harassment against an Employee in the Workplace.

Scope and authority

The General Counsel is the Policy Owner. The Policy Owner is responsible for overseeing the implementation, administration, interpretation and application of this Policy.

This Policy applies to all University Members in all aspects of their engagement with the University.

Ontario Tech University has a <u>Student Sexual Violence Policy</u>, which sets out a framework for ensuring that students who experience sexual violence are directed to appropriate supports and services and that includes a set of procedures that are completely Student-driven; meaning Students decide if they want to file a Report or to

participate in dispute resolution options. Sexual Harassment, as defined under this policy is also defined as Student Sexual Violence when perpetrated by or against a Student. If a Student alleges sexual violence against an Employee or an Employee alleges Sexual Harassment by a Student, all of the rights, roles, responsibilities and obligations established under the Student Sexual Violence Policy will apply to the Student and this Policy and its related procedure will be applied to Employees.

This Policy and its corresponding Procedures do not override or diminish the rights provided to Employees under applicable Collective Agreements, including the right to academic freedom afforded to Faculty. Collective Agreements will supersede this Policy to the extent there is a conflict.

This Policy does not prevent University Members from pursuing resolutions or to seek review of university decisions through external resources and processes, including those offered by the Human Rights Legal Support Centre, the Human Rights Tribunal of Ontario, the Ontario Labour Relations Board and the Ontario Ombudsman's Office.

Policy

The University promotes a campus environment that is equitable, inclusive and accessible, and does not tolerate, ignore or condone Discrimination or Harassment by or against anyone.

The University is committed to providing a campus environment in which all University Members are treated with dignity, and to fostering a climate of understanding and mutual respect. Excellence in the university community is fostered by promoting the freest possible exchange of information, ideas, beliefs and opinions in diverse forms, and it necessarily includes dissemination and discussion of controversial topics and unpopular points of view. However, Freedom of expression and freedom of inquiry must be exercised responsibly, in ways that demonstrate active concern and respect for others, including their ability to participate meaningfully in the exchange of information, ideas, beliefs and opinions (refer to the University's Freedom of Expression Policy).

The University will maintain a respectful campus environment in which the human dignity of everyone is valued, and the diverse perspectives, ideas and experiences of all members of the community are able to flourish. While misunderstandings and conflicts will occur in a complex, demanding and diverse campus environment where collaboration is essential to success, early and informal approaches to resolution should be sought whenever possible and appropriate.

To promote a respectful campus environment:

- 1. The University will ensure that procedures are in place for the prevention of, and response to Discrimination, Harassment and other breaches of this Policy.
- 2. The University will provide information, instruction and assistance to University Members with respect to Discrimination and Harassment.

- 3. The University will provide Persons of Authority with information and instruction that will enable them to recognize, assess and address Discrimination and Harassment, and to understand how to respond appropriately when such incidents are alleged. The University will also provide Persons of Authority with information and instruction that will enable them to effectively and quickly address disrespectful behavior, Microaggressions and any other behaviours that negatively impact group cohesion.
- 4. Any University Member who believes they have been Discriminated against or Harassed, or have witnessed Discrimination or Harassment, is expected to make good faith efforts to resolve their concerns depending on the circumstances, and/or Report the situation in a timely manner.
- 5. The University will administer the processes set out in applicable procedures responding to Reports fairly and promptly, with adequate regard to the unique circumstances of each case, and in a manner that prioritizes the dignity and privacy of individuals involved.
- 6. Where applicable and appropriate, the University will make available informal dispute resolution processes such as Mediation to resolve disputes and underlying conflict at the heart of a Report.
- 7. The University will inform and update Complainants, Respondents and relevant Persons of Authority (as appropriate) about the status of dispute resolution processes as they progress.
- 8. University Members are protected against Reprisal for submitting a Report in good faith, or for participating in a related dispute resolution process. A University Member who believes they have been penalized for submitting a Report in good faith, or for participating in a related investigation, may pursue the allegation of Reprisal by submitting a Report.
- 9. Reprisal protection does not apply to a University Member who submits a Report that is determined to be frivolous or vexatious, or who exhibits bad faith and/or refuses to cooperate in the course of an investigation; each of which are considered breaches of this policy.
- 10. The University will respect the privacy of individuals involved in Reports and investigations, ensuring information about a Report is not disclosed, except to the extent necessary to investigate, take corrective action, implement measures to protect the health and safety of University Members and/or restore the learning/work environment, or as otherwise required by law.
- 11. Personal Information collected under this Policy will be used only for the purposes of administering this Policy and will be disclosed only on a need-to-know basis, to the extent disclosure is required to fulfill the University's legal obligations under the Code, the Occupational Health & Safety Act, and any other applicable law and/or legal obligations, including any applicable collective agreement. Subject to applicable law, Personal Information collected, used and disclosed under this Policy will otherwise be kept confidential, and will be stored and disposed of in accordance with Freedom of Information and Protection of Privacy Act and Ontario Tech University's Records Management Policy.
- 12. University Members who engage in Discrimination and/or Harassment or other breaches of this policy will be held accountable and may be subject to disciplinary

measures, up to and including termination of employment or permanent dismissal of a Student.

ROLES AND RESPONSIBILITIES

All University Members

- 1. Have a shared responsibility for maintaining a respectful environment that is free from Discrimination and Harassment.
- 2. Are responsible for familiarizing themselves with this Policy and related procedures and guidelines;
- 3. Will refrain from engaging in Discrimination and Harassment or other breaches of this Policy.
- 4. Will build and maintain positive and productive relationships and demonstrate Respect in their interactions.
- 5. Will work cooperatively and constructively to resolve conflicts they encounter and seek assistance from a Person of Authority, as appropriate, regarding any escalating conflict they are unable to resolve themselves.
- 6. Will complete all required Discrimination and Harassment instruction and training within established timelines.
- 7. Will exercise rights under this Policy in good faith using prescribed channels. For more information on reporting Discrimination and Harassment refer to the procedures for <u>Employees</u> and <u>Students</u>.
- 8. Will participate in and cooperate with the reporting process and/or any related investigation, including respecting confidentiality obligations.
- 9. Will comply with the corrective measures imposed by the University under this Policy, subject to relevant collective agreements and other appeal rights.

Persons of Authority

Persons of Authority are responsible for supporting the University in its duty to create and maintain a respectful environment that is free from Discrimination and Harassment. Therefore, Persons of Authority have additional obligations in addition to the expectations for all University Members (above). Persons of Authority:

- 1. Will cultivate a respectful and inclusive environment where people feel safe to raise concerns;
- 2. Will ensure expected standards under this Policy are adhered to, including addressing and resolving Microaggressions and other disrespectful behavior should they occur;
- 3. Will lead by example, acting with Respect and modelling positive relationship building and constructive conflict resolution skills in dealings with all University Members, and particularly those under their authority;
- 4. Are responsible for ensuring University Members under their authority are aware of this Policy and its associated procedures and guidelines;

- 5. Will avoid the appearance of favoritism and unfairness by following and promoting adherence to evidence-based decision-making and the principles of non-Discrimination outlined in this Policy;
- 6. Will consider seriously each incident, concern or Report brought forward by taking immediate action to stop any Discrimination, Harassment or other inappropriate behavior, whether the subject of a formal complaint or not, by following policies and procedures to the extent necessary to ensure compliance (note: A Person of Authority cannot agree "to do nothing," even when that is requested by an Employee);
- 7. Will inform the Human Rights office about all activities related to Discrimination and Harassment or other concerns and Reports related to this Policy; and,
- 8. Will implement recommendations and restore areas under their authority that have been disrupted by alleged or actual Policy violations, or complaint resolution processes.

Human Rights Office

- 1. The Human Rights office is responsible for the interpretation and administrative direction of this policy and its associated policies, procedures and guidelines to ensure their compliance with regulatory requirements and will take primary responsibility for updating related procedures applicable to Employees and Students, ensuring that all related procedures are reviewed as often as is necessary in consultation with all appropriate departments.
- 2. The Human Rights office will oversee dispute resolution processes, determine needs and engage specialist support, as necessary, for investigation, fact finding, Mediation or other interventions required to restore the campus to a positive and productive environment, including:
 - 1. receiving and responding to Reports from all University Members while ensuring Reports are responded to appropriately using voluntary dispute resolution methods and/investigations in a timely and equitable manner, as outlined in this Policy and in related procedures and guidelines;
 - 2. ensuring the appropriate departments and/or individuals are advised of a Report, where appropriate; and,
 - 3. ensuring the outcome of an investigation under this Policy, is communicated in writing to Complainant(s), Respondent(s) and relevant Persons of Authority to support corrective and restorative measures.
- 3. The Human Rights Office is also responsible for:
 - 1. ensuring that copies of this Policy and related procedures are posted on the University website;
 - 2. providing Employees with appropriate information and instruction with respect to Discrimination and Harassment, including notifying them of this Policy and its related procedures and guidelines; and,
 - 3. Submitting an Annual Report to the Board of Governors including statistics and trends in human rights Reports and other program initiatives.

Human Resources

- 1. Human Resources is responsible for the following compliance obligations under the *Occupational Health & Safety Act*
 - 1. ensuring all Employees are provided with information and instruction that will enable them to recognize, assess and address Workplace Harassment in their respective workplaces, and will ensure Persons of Authority are aware of this Policy and related procedures;
 - 2. ensuring that copies of this Policy and employment-related procedures and guidelines are posted on the established health and safety bulletin boards where it is likely to come to the attention of Employees; and,
 - 3. ensuring the corrective actions taken as a result of an investigation (if any), are communicated in writing to Complainant(s) and Respondent(s) who are Employees.
- 2. Human Resources is also responsible for:
 - 3. Providing Persons of Authority with information and instruction that will enable them to effectively and quickly address disrespectful behavior, Microaggressions and any other behaviours that negatively impact group cohesion.
 - 1. Assisting Persons of Authority to address Microaggressions and other disrespectful behaviors that occur in the employment context; and,
 - 2. Assisting Persons of Authority to implement corrective and restorative measures in areas under their authority that have been disrupted by alleged or actual Policy violations, or complaint resolution activities.

Student Life

- 1. Student Life is responsible for:
 - 1. Providing Students with appropriate information with respect to Discrimination and Discriminatory Harassment, including notifying them of this Policy and its related procedures; and,
 - 2. Assisting Persons of Authority, in consultation with Human Resources and/or the Human Rights office, to address Microaggressions and other disrespectful behaviors that occur in the academic or on-campus housing context.

Monitoring and review

The University's General Counsel, or successor thereof, is responsible to monitor and review this Policy. This Policy will be reviewed as necessary and at least every year. The JHSC will be consulted on substantive revisions to this Policy.

Relevant legislation

Accessibility for Ontarians with Disabilities Act, 2005, S.O. 2005

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Occupational Health and Safety Act, R.S.O. 1990, c O.1, as amended

Ontario Human Rights Code, R.S.O. 1990, c. H.19

Related policies, procedures & documents

Academic Accommodation for Students with Disabilities [Procedures]

Accessibility [Policy]

Accommodation for Employees and Job Applicants with Disabilities [Procedures]

Accommodation [Policy]

Anti-Hate/Anti-Racism [Guidelines]

Change of Gender [Policy]

Exempt Academic Staff [Policy]

Exempt Academic Staff Employment (Deans, Associate Deans and Teaching Staff Governors [Procedures]

Freedom of Expression [Policy]

Fair Processes [Policy]

Non-Academic Staff Policies

Student Sexual Violence [Policy and Procedures]

To Prevent and Address Discrimination and Harassment by or Against Employees [Procedures]

To Prevent and Address Discrimination and Harassment by or Against Students [Procedures]

Student Conduct [Policy]

Medical Cannabis Use by Students [Procedures]

Service Animals [Procedures]

Use of Memory Aids by Students with Disabilities [Directives]

Use of Audio Recording of Lectures by Students with Disabilities [<u>Directives</u>]

Procedures to Prevent and Address Discrimination and Harassment by or Against Employees

Classification number LCG 1129.02

Parent policy Respectful Campus Policy

Framework category Legal, Compliance & Governance

Approving authority Senior Leadership Team

Policy owner General Counsel **Approval date** April 22, 2021 **Review date** April 2024

Last updated Editorial Amendments: May 22, 2024; February 13, 2023

Purpose

The purpose of this procedure is to establish a dispute resolution framework for the prevention and handling of Employee-based alleged breaches of the Respectful Campus Policy ("the Policy"); ensuring Ontario Tech University ("the University") effectively addresses and responds to these Reports consistent with legislative obligations.

Definitions

For the purposes of this procedure the following definitions apply:

"Administrative Fairness" refers to the following set of principles used in the University's Investigation and decision-making processes:

- The Complainant, if any, is given a full and fair opportunity to raise allegations and provide relevant and material evidence in support of those allegations;
- The Respondent knows what the allegations are, receives enough information to provide a meaningful response, and is given a full and fair opportunity to provide relevant and material evidence responding to the allegations;
- The complaint parties (Complainant and Respondent) receive adequate notice of the nature of the proceedings and of the issue to be decided;
- The decision-maker is required to be impartial and free from bias;
- The decision maker is required to consider all relevant information and evidence before them, taking into consideration the circumstances of the particular matter, including its complexity and the requirements of fairness and due process;
- The decision-making processes run in a timely fashion;

- The complaint parties may have a support person during dispute resolution meetings; and
- The complaint parties are provided the reasons for the decision.
- "Balance of Probabilities" means an investigative standard that must be met to determine whether a violation of the Policy has occurred based on a finding that "it was more likely than not" that the offence at issue was committed by the Respondent. This is a lower standard than beyond a reasonable doubt, but more than mere suspicion.
- "Complainant" refers to an individual who is alleged to have experienced Discrimination and/or Harassment.
- "Day(s)" means all weekdays, excluding statutory holidays and University closure dates as indicated on the University website.
- "Discrimination" is a distinction, without lawful justification, whether intentional or not, which has the effect of denying benefits to, or otherwise disadvantaging, an individual on the basis of a Protected Ground (defined below). Discrimination may involve direct actions that are discriminatory on their face, or it may involve rules, practices or procedures that appear neutral, but have the effect of disadvantaging one or more groups of people.
- "Discriminatory Harassment" means engaging in a course of vexatious comment or conduct, based on any Protected Ground, that is known or ought reasonably to be known to be unwelcome. Discriminatory Harassment may include, for example, taunting or mocking someone's race, communicating, distributing or posting Hate content; ridiculing an individual's disability, or targeting others with sexual, gender-based or homophobic slurs. While Discriminatory Harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute Discriminatory Harassment.
- **"Disrespect"** is a behaviour that falls short of Harassment, but nevertheless has harmful impacts on the campus environment, e.g., rude, inconsiderate, and passive aggressive behaviour. Disrespect, if left unaddressed, can escalate to Discrimination and/or Harassment.
- "Employee" means job applicants and individuals performing services directly on the University's behalf, including administrative staff members, Faculty, volunteers and contract employees. Students who are employed during their studies, are "Employees" for the purposes of this procedure when they are engaged in employment activities, but not otherwise.
- "Extenuating Circumstances" means circumstances outside of an individual's control that result in delays in the dispute resolution processes described in this procedure. Extenuating circumstances include, but are not limited to, having multiple Complainants, Respondents or witnesses, difficulty in scheduling meetings or interviews, availability of resource persons or materials, time of year, involvement of law enforcement or existence

of a judicial hearing, or other circumstances that may arise through the course of the dispute resolution activities.

- **"Faculty"** refers to a Faculty Member at the University and includes those with both limited term and indefinite term appointments, as well as those with paid, unpaid and honorific appointments. For greater certainty, "Faculty" also includes visiting scholars and emeritus professors.
- **"Harassment"** Is the term used in this policy instrument to represent all forms of Harassment: Discriminatory Harassment, Hate, Reprisal, Sexual Harassment, Sexual Misconduct and Workplace Harassment.
- "Hate" for the purposes of this procedure is a form of Discriminatory Harassment and refers to:
 - a criminal offense committed against a person or property that is motivated in any part by bias, prejudice or hate based on race, national or ethnic origin, language, colour, religion, sex, age, mental or physical disability, sexual orientation, or any other similar factor;
 - any communication used by a person or group that advocates or willfully
 promotes hatred based on colour, nationality or ethnic origin, race, religion and/or
 sexual orientation; hatred against any identifiable group, or the incitement of
 hatred against any identifiable group where such incitement is likely to lead to a
 breach of the peace and includes advocating genocide; and public communication
 that willfully promotes Antisemitism by denying, condoning, or downplaying the
 Holocaust; and/or,
 - publishing or displaying or causing the publication or display before the public of any notice, sign, symbol, emblem or other similar representation that indicates the intention of the person to Discriminate or that is intended to incite others to Discriminate.
- "Interim Measures" mean temporary measures designed to prevent additional breaches of the Policy and/or to protect the safety of the Complainant or others. Interim Measures are instituted at any point following a Report and prior to a determination being made. Interim Measures take into consideration the severity of the allegations and the varying risks associated with the potential for subsequent Policy breaches. Examples of Interim Measures include, but are not limited to, transfer to a different work location, administrative leave, no contact orders or other safety measures.
- **"Investigation"** refers to a review by the University into alleged Discrimination and/or Harassment.
- "Investigator" refers to an individual assigned under the Policy to complete an Investigation, and who has the required training and experience to conduct a fair and objective Investigation.

- "JHSC" means the Joint Health & Safety Committee(s) at the University.
- "Mediation" is a structured process during which parties in dispute are assisted by a third-party to discuss a dispute and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate.
- "Microaggression" means a comment or action that negatively targets a group based on a Protected Ground (e.g., a racist, sexist or homophobic comment). Microaggressions may be intentional or accidental but are nonetheless harmful and stigmatizing to a certain group of people. If allowed to go unchallenged, Microaggressions may escalate to Discrimination and/or Harassment.
- "Person(s) of Authority" includes, for the purposes of this procedure, any person who has charge of a workplace, authority over another Employee or authority in the administration of education, including supervisors, managers, senior management and Faculty leadership (e.g., Deans, Associates Deans, etc.).
- "Poisoned Environment" is a form of indirect Discriminatory Harassment. It occurs when comments or conduct (including comments or conduct that are condoned or allowed to continue when brought to the attention of a Person of Authority) ridicule or demean a person or group based upon a Protected Ground. The comments or conduct need not be directed at a specific person, and may be from any person, regardless of position or status.
- "Protected Ground(s)" are the Grounds contained in the Ontario Human Rights Code under which individuals are protected against Discrimination and Harassment. All University Members are protected under the following Grounds: "race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, marital status, family status and disability.," Employees are additionally protected under the Ground "record of offences.""Racism" means an abuse of power and privilege based on an ideology of superiority/inferiority between a dominant race over a non-dominant or marginalized population. It marks one set of people as 'other' and 'different' and another set of people as 'normal' or 'better'.
- "Report" refers to information about alleged Discrimination and/or Harassment that is reported by or against an Employee. "Reprisal" is a form of Harassment that includes retaliation, coercion, dismissal, threats or intimidation of anyone who in good faith: raises complaints or concerns, exercises their rights or participates in a remedial process under the Policy.
- "Respect" is a standard of interpersonal communication and behaviour characterized by self-restraint and consideration for others.
- "Respondent" refers to anyone who is alleged to have engaged in Discrimination and/or Harassment.

"Sexual Harassment" means,

- engaging in a course of vexatious comment or conduct because of sex, sexual orientation, gender identity or gender expression, where the course of comment or conduct is known or ought reasonably to be known to be unwelcome;
- making a sexual solicitation or advance where the person making the solicitation or advance is in a position to confer, grant or deny a benefit or advancement and the person knows or ought reasonably to know that the solicitation or advance is unwelcome; or,
- a reprisal or a threat of reprisal for the rejection of a sexual solicitation or advance where the reprisal is made or threatened by a person in a position to confer, grant or deny a benefit or advancement to the person.

Sexual Harassment includes but is not limited to, sexually suggestive or obscene remarks or gestures, and negative stereotypical comments based on gender, sex or sexual orientation, gender identity and gender expression.

"Sexual Misconduct" refers to physical sexual relations with a Student, touching of a sexual nature of a Student or behaviour or remarks of a sexual nature toward a Student by an Employee where, the act constitutes an offence under the Criminal Code of Canada, the act amounts to Sexual Harassment as defined in the Policy, or the act contravenes any other policy, rule or other requirement respecting sexual relations between Employees and Students, including the Student Sexual Violence Policy and the Ethical Conduct Policy.

"University Member" means any individual who at the time of the alleged Policy violation:

- is employed by the University or holds an appointment with the University, including paid, unpaid and/or honorific appointments ("Employee");
- is registered as a student, in accordance with the academic regulations of the University ("Student"); and/or
- Is otherwise subject to University policies by virtue of the requirements of a specific policy (e.g., Booking and Use of University Space) and/or the terms of an agreement or contract and includes visitors and guest speakers.

"Workplace" means any place where Employees engage in any facet of employment activity (e.g. recruitment, training, evaluation and development), including employment activities virtually through the use of information and communications technology, outside the normal place of work, and employment activities that occur outside of normal working hours.

"Workplace Harassment" means,

• engaging in a course of vexatious comment or conduct against an Employee in a Workplace that is known or ought reasonably to be known to be unwelcome.

Arbitrators have interpreted this form of Workplace Harassment to encompass "serious actions with significant consequences" as opposed to "the normal abrasiveness of daily life in the workplace," examples of which have been found to include "interpersonal conflict," "personal animosity" and "employee feuds," and/or

 Workplace Sexual Harassment - defined as Sexual Harassment, including virtually through the use of information and communications technology, against an Employee in the Workplace.

Scope and authority

The General Counsel is the Policy owner. The Policy owner is responsible for overseeing the implementation, administration, interpretation and application of this procedure.

This Procedure applies to Reports made by or against Employees in the Workplace. Reports with respect to incidents that have occurred on, or off campus will be handled as described below:

- 1. On-Campus The scope includes incidents of Discrimination and Harassment where the Respondent is a University Member and the incident takes place on University space or using University-owned property or equipment, including, but not limited to, telephones, computers, and online media including websites, email, social media accounts, online learning tools and applications provided, managed or self-identified as belonging to the University. This includes the University's website, branded Twitter, and Facebook Live events, as well as online learning and collaboration tools such as Google Apps for education.
- 2. Off-Campus The scope includes incidents of Discrimination and Harassment that occur off-campus where the Respondent is a University Member and:
 - The incident occurred during any organized University class, trip or other employment-related activity, including Varsity Athletics and experiential learning opportunities such as co-op, practicum or during research endeavors;
 - The incident occurred during an official University event; or
 - In exceptional circumstances, when an incident occurring off-campus or online are likely to have an impact on the working, living and/or learning environment at the University, or could reasonably be seen to endanger or adversely affect the health and safety of University Members.

This procedure necessarily overlaps with other University procedures that are concerned with preventing and addressing incidents of Discrimination and Harassment. The University will administer the overlap according to the following principles:

1. This procedure will be applied to all Reports made by or against an Employee to ensure the University satisfies its commitment to, and its obligations under, legislation and collective agreements.

- 2. Reports brought forward by a Student involving an Employee who is accused of a breach of the Policy will proceed under this procedure in conjunction with the Student procedure or the Student Sexual Violence Policy and Procedures, depending on the nature of the allegations.
- 3. Where it is alleged that a Student has, in their capacity as a Student, engaged in Harassment against an Employee, the matter will be addressed in conjunction with the relevant Student procedures.
- 4. Reports involving a Student who is also an Employee, and whose actions occurred in the course of their employment, will be handled under this procedure.
- 5. Where an individual, other than a University Member, is alleged to have engaged in a breach of this Policy against an Employee, Human Resources and/or the Office of Campus Safety will consult with University Members at risk, and other University Members if necessary, to determine and implement reasonable measures to protect the health and safety of its Employees. Because the University does not have the jurisdiction to compel statements from members of the general public, or to impose sanctions upon them, it will not normally conduct a formal Investigation in such cases.

This procedure is a mechanism for alternative dispute resolution. It does not prevent Employees from pursuing resolutions or to seek external review of University decisions through external resources and processes, including those offered through grievance arbitration, or by the Human Rights Legal Support Centre, the Human Rights Tribunal of Ontario and the Ontario Labour Relations Board. However, the Human Rights office may not accept a complaint or may halt dispute resolution processes under this procedure if a Complainant decides to pursue external processes or grievance mechanisms on the same or similar matter unless an Investigation is legislatively required.

Proceedings under this procedure may be carried out prior to, simultaneously with, or following other off-campus proceedings, including civil or criminal proceedings. In cases where the Human Rights Office determines that processing an allegation under this procedure might prejudice another internal or external process, they may suspend these proceedings indefinitely or pause an Investigation pending the outcome of these other proceedings. If an Investigation is paused or suspended, Interim Measures may be used to ensure the safety of all University Members.

Procedure

Confidentiality: Information collected under this procedure will be used only for the purposes of administering the policy instrument and may be disclosed only on a need-to-know basis to the extent required to fulfill the University's legal obligations. Personal Information collected, used, and disclosed under this procedure will otherwise be kept confidential. To this end:

1. All individuals involved in this procedure will be advised of their duty to maintain the confidentiality of all information disclosed to them in this procedure, including any confidential information disclosed to them.

- 2. Confidential information obtained during the reporting process will not be disclosed except to the extent that disclosure is necessary for the purposes of investigating Reports, taking corrective action, protecting the health and safety of members of the University community, or as otherwise required by law. For example, information may be shared with the Office of Campus Safety if this is necessary to protect an individual.
- 3. Complainants and Respondents who are Employees will be advised of the outcome of an Investigation and the corrective actions taken, if any.
- 4. All records will be maintained in a confidential file, including all related communications, memoranda, Reports, statements and evidence. Reasonable steps will be taken to protect against unauthorized access to such documents. These records will be retained, and disposed of, in accordance with the Freedom of Information and Protection of Privacy Act and the University's Records Management Policy.

Reprisal. Any Reprisal, or expressed or implied threat of Reprisal, for making and pursuing a Report under this procedure is itself considered a breach of the corresponding Policy. Any individual experiencing Reprisal may file a Report, and that Report will be processed under this procedure.

Right to a Support Person. Complainants and Respondents who attend an interview or meeting under this procedure may be accompanied by a support person. The role of a support person is to provide moral support. Parties who choose to attend an interview with a support person must choose a support person who is not otherwise connected to the matter under Investigation (individuals connected to the matter include, for example, a witness or fellow Complainant) and will notify the investigator of their support person's name at least two (2) days prior to the meeting. In the case of an Employee who is a member of a bargaining unit, the support person may be a union representative. During an investigative interview, a support person will not be permitted to make legal submissions or arguments on behalf of the individual, or to disrupt the interview. In any event, individuals who are being interviewed must answer the interview questions themselves.

Safety is Paramount: The University has an overriding obligation to protect the safety of all University Members. When a University Member is at risk of imminent harm, the Human Rights Office reserves the right to investigate and respond appropriately, independent of a Complainant's and/or Respondent's course of action under this procedure.

Support Services: The University's <u>Wellness at Work</u> initiative has appropriate support services for Employees who experience Discrimination and/or Harassment or to assist Employees who are involved in dispute resolution processes described in this procedure.

Timeliness: All efforts will be made to ensure that proceedings are handled in an expeditious manner. Timeframes, where specified, may be subject to an extension because of Extenuating Circumstances.

PREVENTATIVE MEASURES

Training: The University will ensure that information and instruction is provided to Employees regarding Discrimination and Harassment. Training programs are available on the <u>Health and Safety Website</u>.

Range of Dispute Resolution Options: Several options to resolve Discrimination and Harassment are available for Complainants and Respondents. Voluntary and participatory approaches can foster prompt resolution and prevent escalation; particularly when concerns are raised expeditiously. These are opportunities for parties to resolve a dispute, ensure the Workplace is free from Discrimination and Harassment and address broader issues that caused or contributed to the dispute.

Talk to the person about their behaviour: Where appropriate, Complainants are expected to make good faith efforts to attempt to resolve matters themselves before filing a complaint. If a person feels they are experiencing Discrimination or Harassment, they should immediately make known to the person responsible that the conduct is unwelcome or offensive. It is important that this message be clear and unambiguous. When presented with a legitimate concern, University Members are expected to make reasonable adjustments to their behaviour to resolve the matter. If addressing the person responsible could lead to safety risks, or is not appropriate, Complainants may pursue other resolution options outlined in this procedure.

Addressing Disrespect and/or Microaggressions: Incidents of alleged disrespect, including microaggressions, may be raised to a Person of Authority if attempts to resolve the behaviour directly with the individual are not successful.

Consultation: Employees may benefit from having expert information and guidance before deciding how to proceed with a concern related to this procedure. Employees can consult a Person of Authority or may make an appointment with the <u>Human Rights</u> <u>Office</u> to learn more about human rights and dispute resolution options. Consultations are confidential and can provide information, coaching, and referrals to assist Employees.

REPORTING The Reporting Process

Incidents of Discrimination and Harassment should be promptly reported to a Person of Authority and/or the Human Rights office, and no later than one (1) year after the last incident of alleged Discrimination or Harassment. If the Report is related to an Employee in the Human Rights office, the Report should be directed to the University's General Counsel, in which case the General Counsel will have the responsibilities assigned to the Human Rights office below.

Step 1 – Submitting a Report

- 1. A Report and the corresponding reporting process is initiated when an individual completes the Report form. At a minimum, the Report should include the identification of the individual the Report is about, the issue type and grounds for the complaint, and details about each alleged incident, including dates/times, where the incident(s) occurred, who was involved or present, and what specifically happened.
- 2. Person(s) of Authority who witness or are made aware of alleged incidents of Discrimination and/or Harassment must initiate a Report to the Human Rights office.
- 3. The Human Rights office may, on its own initiative, initiate a Report when it receives information regarding an alleged incident of Discrimination and/or Harassment in the Workplace, unless such a Report has already been submitted by an individual.
- 4. Employees may also report anonymously (alternatively), but in doing so, may limit their access to the full breadth of options outlined in the Policy and this procedure.

Step 2 – Assessment

- 5. The Human Rights office will review the Report and any associated information/documentation to determine if the conduct alleged in the Report would amount to Discrimination and/or Harassment. This determination will be based on an assumption that all the alleged facts were true.
- 6. If the allegations set out in the Report would not, if true, amount to Discrimination or Harassment, the Human Rights office will respond to the individual submitting the Report in writing, usually within 30 days, advising that the Report has been reviewed, and that the information provided does not support an allegation of Discrimination or Harassment. Human Rights OfficeIf there is another process or resource at the University that would be more appropriate for the subject matter of the Report, the individual will be advised of this alternative process.
- 7. Complaints that even if true do not describe Discrimination and/or Harassment, but nevertheless describe Disrespectful behaviour, may be referred to an applicable Person of Authority for appropriate follow-up or may be eligible for the voluntary resolution options described in this procedure at the discretion of the Human Rights Office.
- 8. If the information provided would, assuming the alleged facts were true, support a finding that Discrimination or Harassment had occurred, the following dispute resolution options will be considered.

Step 3 – Interim Measures

9. Upon accepting a Report, the University will immediately determine whether Interim Measures are necessary, considering the severity of the allegations, and the potential risks to University Members. Interim Measures will be implemented

where reasonable and appropriate in the circumstances. Person(s) of Authority, the Complainant, Respondent, the Office of Campus Safety or any other relevant stakeholder, may be consulted on a confidential basis, to determine appropriate Interim Measures.

Step 4 – Voluntary Resolution

- 10. Where appropriate, a voluntary resolution process such as Mediation, can be pursued if the Complainant and Respondent consent to such a process and its format (Note: voluntary resolution options are not available related to allegations of Workplace Harassment, which require an Investigation).
- 11. Examples of voluntary resolution processes may include: communicating to a Respondent that the behaviour is unwelcome and must stop; meeting with a Person of Authority to discuss the ways in which future occurrences of the reported incident can be prevented; training or education for individuals and groups; and Mediation or other alternative dispute resolution processes.
- 12. If the Complainant is satisfied by the actions taken through the voluntary resolution process, the Human Rights Office will prepare a written summary of the resolution, and the Complainant will confirm acceptance in writing. If accepted, the Report will be deemed resolved.
- 13. Where those involved in the voluntary resolution process reach an agreed resolution, that resolution will become binding.
- 14. Every effort will be made to complete voluntary resolution activities within thirty (30) days.

Step 5 – Investigation

- 15. An Investigation will be conducted if voluntary resolution options are not appropriate in the circumstances or at the request of the Complainant if voluntary resolution options were not successful in resolving the dispute. Every effort will be made to conclude an Investigation within ninety (90) days following the receipt of a Report or following the conclusion of voluntary resolution activities.
- 16. The purpose of an Investigation is to: gather evidence and witness statements; weigh the evidence; make findings of fact based on the evidence; and produce an Investigation report. In an Investigation under this procedure, the Investigator must make a determination having weighed the evidence on a Balance of Probabilities that either: (1) a policy breach occurred; or (2) a policy breach did not occur.
- 17. The Human Rights office will ensure an Investigation process that is appropriate in the circumstances and that follows Administrative Fairness principles. At this stage, consideration will be given to whether the Investigation will be conducted internally or externally. The Human Rights office will review the collective agreement(s) of any individuals involved in the Report and will ensure the procedural rights granted to those individuals under their respective collective agreements are maintained.

- 18. The Investigator will commence the Investigation by advising the Respondent in writing that a Report has been received and that they are the subject of an Investigation. The notice will at minimum: (i) advise that an Investigation is being initiated, (ii) contain a brief summary of the allegations, and (iii) indicate they will be contacted by the Investigator for a meeting in due course. The notice will also provide contact information for Support Services and will indicate that the Respondent has the right to be supported and accompanied by a support person.
- 19. At any point during the Investigation, the Investigator may set meetings with any individual to obtain further information. The Investigator also has access to any document or piece of evidence they deem necessary to complete a thorough Investigation. University Members, therefore, are required to cooperate with Investigations commenced under this procedure.
- 20. The Human Rights Office will ensure the Complainant and Respondents involved in an Investigation, and their respective bargaining agent(s), where applicable, are informed and updated regarding the investigation process that will be adopted in each particular case.
- 21. Based on all available evidence, the Investigator will determine whether there has been a violation of the Policy, weighing the evidence on a Balance of Probabilities. The Investigator's determination will be reported in an investigation report. The Complainant and Respondent will receive a copy of a report summarizing the Investigation findings.

Step 6 – Determination & Corrective Action

- 22. The Human Rights Office will ensure that the results of the Investigation are brought to the attention of, and reviewed by, the appropriate Person(s) of Authority.
- 23. If the Investigation found a breach of policy, the Investigator will make recommendations to adequately resolve the inappropriate behavior.
- 24. The Human Rights Office will also review the results of the investigation report for signs of systemic issues that may have contributed to the complaint and may make recommendations to the Person(s) of Authority to explore voluntary resolution options for individuals who continue to experience conflict and/or address signs of systemic issues regardless of whether a breach of Policy was found.
- 25. If the Investigation found a breach of policy, Human Resources will advise the Person(s) of Authority with respect to appropriate corrective measures, if any, to be taken, including measures aimed at preventing Reprisal and/or recurrence.
- 26. Where an Employee is found to have engaged in acts of Discrimination and/or Harassment or other breaches of the Policy, corrective measures may include non-disciplinary actions (e.g., education) or disciplinary measures (e.g., a written reprimand, a suspension or dismissal).
- 27. Employees that are members of a bargaining unit shall have any corrective measure(s) imposed in accordance with applicable collective agreement requirements.
- 28. Information about Investigation outcomes or corrective actions will be provided in accordance with the procedural requirements of any relevant collective

agreement and any applicable laws. At the conclusion of an investigation, The Human Rights office will ensure that any Complainant and any Respondent are informed, in writing, of the outcome of the Investigation. Human Resources will ensure that any corrective action taken or that will be taken are communicated to the Complainant (Employee) and Respondent (Employee) in writing.

29. The Relevant Person(s) of Authority will be responsible for implementing recommendations (including corrective action) in consultation and with the support of Human Resources.

Monitoring and review

The General Counsel, or successor thereof, is responsible to monitor and review this procedure. This procedure will be reviewed as necessary and at least every year. The Policy owner will maintain this procedure in consultation with the JHSC.

Relevant legislation

Accessibility for Ontarians with Disabilities Act, 2005, S.O. 2005

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Occupational Health and Safety Act, R.S.O. 1990, c O.1, as amended

Ontario Human Rights Code, R.S.O. 1990, c. H.19

Related policies, procedures & documents

Respectful Campus [Policy]

Accommodation [Policy]

Accommodation for Employees and Job Applicants with Disabilities [Procedures]

Anti-Hate/Anti-Racism [Guidelines]

Fair Processes [Policy]

Freedom of Expression [Policy]

Ethical Conduct [Policy]

Student Conduct [Policy]

Student Sexual Violence [Policy and Procedures]

Procedures to Prevent and Address Discrimination and Harassment by or Against Students

Classification number LCG 1129.01

Parent policy Respectful Campus Policy

Framework category Legal, Compliance and Governance

Approving authority Governance, Nominations and Human Resources Committee

Policy ownerGeneral CounselApproval dateMarch 31, 2022Review dateMarch 2025

Supersedes LCG 1105.01 Harassment and Discrimination Procedures

Purpose

The purpose of this procedure is to establish a dispute resolution framework for the prevention and handling of Student-based alleged breaches of the Respectful Campus Policy ("the Policy"); ensuring Ontario Tech University ("the University") effectively addresses and responds to these Reports consistent with legislative obligations.

Definitions

For the purposes of this procedure the following definitions apply:

"Administrative Fairness" refers to the following set of principles used in the University's Investigation and decision-making processes:

- The Complainant, if any, is given a full and fair opportunity to raise allegations and provide relevant and material evidence in support of those allegations;
- The Respondent knows what the allegations are, receives enough information to provide a meaningful response, and is given a full and fair opportunity to provide relevant and material evidence responding to the allegations;
- The complaint parties (the Complainant and Respondent) receive adequate notice of the nature of the proceedings and of the issue to be decided;
- The decision-maker is required to be impartial and free from bias;
- The decision maker is required to consider all relevant information and evidence before them, taking into consideration the circumstances of the particular matter, including its complexity and the requirements of fairness and due process;
- The decision-making processes run in a timely fashion;
- The complaint parties may have a support person during dispute resolution meetings; and

- The complaint parties are provided the reasons for the decision.
- "Balance of Probabilities" means an investigative standard that must be met to determine whether a violation of the Policy has occurred based on a finding that "it is more likely than not" that the offence at issue was committed by the Respondent. This is a lower standard than beyond a reasonable doubt, but more than mere suspicion.
- "Complainant" refers to an individual who is alleged to have experienced Discrimination and/or Harassment.
- "Day(s)" means all weekdays, excluding statutory holidays and University closure dates as indicated on the University website.
- "Discrimination" is a distinction, without lawful justification, whether intentional or not, which has the effect of denying benefits to, or otherwise disadvantaging, an individual on the basis of a Protected Ground (defined below). Discrimination may involve direct actions that are discriminatory on their face, or it may involve rules, practices or procedures that appear neutral, but have the effect of disadvantaging one or more groups of people.
- "Discriminatory Harassment" means engaging in a course of vexatious comment or conduct based on any Protected Ground, that is known or ought reasonably to be known to be unwelcome. Discriminatory Harassment may include, for example, taunting or mocking someone's race, making, distributing or positing Hate, ridiculing an individual's disability or targeting others with sexual, gender-based or homophobic slurs. While Discriminatory Harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute Discriminatory Harassment.
- **"Disrespect"** is behavior that falls short of Harassment, but nevertheless has harmful impacts on the campus environment, e.g., rude, inconsiderate and passive aggressive behavior. Disrespect, if left unaddressed, can escalate to Discrimination and/or Harassment.
- "Extenuating Circumstances" means circumstances outside an individual's control that result in delays in the dispute resolution processes described in this procedure. Extenuating circumstances include, but are not limited to, having multiple Complainants, Respondents, Reports or witnesses, difficulty in scheduling meetings or interviews, availability of resource persons or materials, time of year, involvement of law enforcement or existence of a judicial hearing, or other circumstances that may arise through the course of the dispute resolution activities.
- **"Harassment"** for the purposes of this procedure Includes Discriminatory Harassment (with the exception of sexual harassment and sexual misconduct, which are addressed under the University's Student Sexual Violence Policy and Procedure), Hate and Reprisal..

- "Hate" for the purposes of this procedure, is a form of Discriminatory Harassment and refers to:
 - a criminal offense committed against a person or property that is motivated in any part by bias, prejudice or hate based on race, national or ethnic origin, language, colour, religion, sex, age, mental or physical disability, sexual orientation, or any other similar factor;
 - any communication used by a person or group that advocates or willfully
 promotes hatred based on colour, nationality or ethnic origin, race, religion and/or
 sexual orientation; hatred against any identifiable group, or the incitement of
 hatred against any identifiable group where such incitement is likely to lead to a
 breach of the peace and includes advocating genocide; and public communication
 that willfully promotes Antisemitism by denying, condoning, or downplaying the
 Holocaust; and/or,
 - publishing or displaying or causing the publication or display before the public of any notice, sign, symbol, emblem or other similar representation that indicates the intention of the person to Discriminate or that is intended to incite others to Discriminate.
- "Interim Measures" mean temporary measures designed to prevent additional breaches of the Policy and/or to protect the safety of the Complainant or others. Interim Measures may be instituted at any point following a Report and prior to a determination being made. Interim Measures take into consideration the severity of the allegations and the varying risks associated with the potential for subsequent Policy breaches. Examples of Interim Measures include, but are not limited to, a no-contact order, trespass or restricted access order, suspension, exclusion from athletic or other extra-curricular activities, limiting access to services or facilities, or other safety measures.
- **"Investigation"** refers to a review by the University into alleged Discrimination and/or Harassment.
- "Investigator" refers to an individual assigned under the Policy to complete an Investigation, and who has the required training and experience to conduct a fair and objective Investigation.
- "Mediation" is a structured process during which parties in dispute are assisted by a third-party to discuss a dispute and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate.
- "Microaggression" means a comment or action that negatively targets a group based on a Protected Ground (e.g. a racist, sexist or homophobic comment). Microaggressions may be intentional or accidental but are nonetheless harmful and stigmatizing to a certain group of people. If allowed to go unchallenged, Microaggressions may escalate to Discrimination and/or Harassment.

- "Person(s) of Authority" includes any person who has charge of a workplace, authority over another Employee or authority in the administration of education. Anyone who supervises an Employee at Ontario Tech University is a Person of Authority. For the purposes of this procedure, Faculty members, and Faculty leadership (e.g. Deans, Associates Deans, etc.) are also considered Persons of Authority vis-à-vis their relationship to Students.
- "Poisoned Environment" is a form of indirect Discriminatory Harassment. It occurs when comments or conduct (including comments or conduct that are condoned or allowed to continue when brought to the attention of a Person of Authority) ridicule or demean a person or group based upon a Protected Ground. The comments or conduct need not be directed at a specific person, and may be from any person, regardless of position or status.
- "Protected Ground(s)" are the Grounds contained in the Ontario Human Rights Code under which individuals are protected against Discrimination and Harassment. Students are protected under the following Grounds: "race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, marital status, family status and disability."
- "Racism" means an abuse of power and privilege based on an ideology of superiority/inferiority between a dominant race over a non-dominant or marginalized population. It marks one set of people as 'other' and 'different' and another set of people as 'normal' or 'better'.
- "Report" refers to information about alleged Discrimination and/or Harassment that is reported by or against a Student.
- "Reprisal" is a form of Harassment that includes retaliation, coercion, dismissal, threats or intimidation of anyone who in good faith: raises complaints or concerns, exercises their rights or participates in a remedial process under the Policy.
- "Respect" is a standard of interpersonal communication and behaviour characterized by self-restraint and consideration for others.
- "Respondent" refers to anyone who is alleged to have engaged in Discrimination and/or Harassment.
- **"Support Services"** means services administered by a trained and registered mental health counsellor in <u>Student Mental Health Services</u> assigned responsibility for providing support for Students who have experienced, are accused of, or have witnessed a breach of the Policy.
- "University Member" means any individual who:

- is employed by the University or holds an appointment with the University, including paid, unpaid and/or honorific appointments ("Employee");
- is registered as a student, in accordance with the academic regulations of the University ("Student"); and/or
- Is otherwise subject to University policies by virtue of the requirements of a specific policy (e.g. Booking and Use of University Space) and/or the terms of an agreement or contract and includes visitors and guest speakers.

Scope and authority

The General Counsel is the Policy owner. The Policy owner is responsible for overseeing the implementation, administration, interpretation and application of this procedure.

This procedure applies to Reports made by or against Students. Reports with respect to incidents that have occurred on, or off campus will be handled as described below:

- 1. On-Campus The scope includes incidents of Discrimination and Harassment where the Respondent is a University Member and the incident takes place on University space or using University-owned property or equipment, including, but not limited to, telephones, computers, and online media including websites, email, social media accounts, online learning tools and applications provided, managed or self-identified as belonging to the University. This includes the University's website, branded Twitter, and Facebook Live events, as well as online learning and collaboration tools such as Google Apps for education.
- 2. Off-Campus The scope includes incidents of Discrimination and Harassment that occur off-campus where the Respondent is a University Member and:
 - The incident occurred during any organized University class or extra-curricular activity, including Varsity Athletics and experiential learning opportunities such as co-op, practicum or during research endeavors;
 - The incident occurred during an official University event; or
 - In exceptional circumstances, when an incident occurring off-campus or online are likely to have an impact on the working, living and/or learning environment at the University, or could reasonably be seen to endanger or adversely affect the health and safety of University Members.

This procedure necessarily overlaps with other University procedures that are concerned with preventing and addressing incidents of Discrimination and Harassment. The University will administer the overlap according to the following principles:

1. Reports brought forward by a Student involving a Respondent who was, at the time of the incident(s), acting in the capacity of an Employee, including a Respondent who was a Student acting in the capacity as an Employee (e.g., Teaching Assistants), will proceed under this procedure in conjunction with the Employee procedure.

- 2. Reports brought forward by an Employee involving a Student who is accused of a breach of the Policy will also proceed under this procedure in conjunction with the Employee procedure.
- 3. Allegations of student sexual violence, including alleged incidents of sexual harassment involving a Student, will be handled in the manner prescribed under the Student Sexual Violence Policy and Procedures.
- 4. Students who wish to challenge a grade because of alleged Discrimination or Harassment are expected to make use of the grade appeal and reappraisal procedures as a first step. At the conclusion of the grade appeal and/or reappraisal processes, the Student may elect to file a Report under this procedure if they remain dissatisfied. In such instances, the Human Rights office will review the information and evidence gathered during the grade appeal and/or reappraisal processes to determine whether any outstanding allegations warrant further action under this procedure.
- 5. Where an individual, other than a University Member, is alleged to have engaged in a breach of the Policy against a Student, the Office of Campus Safety will consult with University Members at risk, and other University Members if necessary, to determine and implement reasonable measures to protect the health and safety of its Students. Because the University does not have the jurisdiction to compel statements from members of the general public, or to impose sanctions upon them, it will not normally conduct a formal Investigation in such cases.

This Procedure is a mechanism for alternative dispute resolution. It does not prevent Students from pursuing resolutions or to seek external review of University decisions through external resources and processes, including, but not limited to, those offered by the Human Rights Legal Support Centre, the Human Rights Tribunal of Ontario, and the Ontario Ombudsman's Office. However, the Human Rights office may not accept a complaint or may halt dispute resolution processes under this procedure if a Complainant decides to pursue external processes on the same or similar matter.

Proceedings under this procedure may be carried out prior to, simultaneously with, or following other off-campus proceedings, including civil or criminal proceedings, at the discretion of the Human Rights office. In cases where the Human Rights office determines that processing an allegation under this procedure might prejudice another internal or external process, they may suspend these proceedings indefinitely or pause an Investigation pending the outcome of these other proceedings. If an Investigation is paused or suspended, Interim Measures may be used to ensure the safety of all University Members.

Notice: All notifications required under this procedure will be delivered by email to an ontariotechu.net or ontariotechu.ca account, as applicable.

1. Emails will be deemed received by a Student one (1) Day after they are sent. All Students are required to monitor their ontariotechu.net accounts regularly, including during examination periods.

2. A Student's failure to monitor their email account will not give rise to any right of appeal under this procedure.

Procedure

This procedure assumes that a centralized process is necessary to ensure uniformity and fairness in dealing with alleged violations of the Policy involving Students. It is intended to provide a framework that protects confidentiality and ensures that, as far as possible, the initiation and pursuit of a Report will not be an intimidating experience. Accordingly, the emphasis is on voluntary resolution, using facilitation and negotiation, save where the nature of the matter necessitates a more formal resolution process. The University intends that the existence of this document should help create an environment that nurtures and supports the work of all University Members.

Confidentiality: All records will be maintained in a confidential file, including all related communications, memoranda, Reports, statements and evidence. Reasonable steps will be taken to protect against unauthorized access to such documents. These records will be retained, and disposed of, in accordance with the Freedom of Information and Protection of Privacy Act and the University's Records Management Policy. Complaint information and evidentiary details will be kept confidential, except under the following circumstances:

- The Complainant chooses to proceed with a Report, in which case information will be shared only to the extent necessary to achieve Administrative Fairness, and as otherwise required by law;
- information is received suggesting there is a clear risk of harm, including self-harm, to the Student or other individuals;
- reporting is required by law (e.g., the suspected abuse or neglect of someone under 16 years of age, which will be reported to the Children's Aid Society, or reporting is required under the Occupational Health and Safety Act or by a regulatory body); and,
- information is required for a police investigation, or for litigation purposes.

Reprisal: Any Reprisal, or expressed or implied threat of Reprisal, for making and pursuing a Report under this procedure is itself considered a breach of the corresponding Policy. Any individual experiencing Reprisal may file a Report, and that Report will be processed under this procedure.

Right to a Support Person. Complainants and Respondents who attend an interview or meeting under this procedure may be accompanied by a support person or legal representative. The role of a support person is to provide moral support. Individuals who choose to attend an interview with a support person must choose a support person who is not otherwise connected to the matter under Investigation (individuals connected to the matter include, for example, a witness or fellow Complainant) and will notify the Human Rights office of their support person's name at least two (2) days prior to the meeting. During an investigative interview, a support person will not be permitted to make legal

submissions or arguments on behalf of the individual, or to disrupt the interview. In any event, individuals who are being interviewed must answer the interview questions themselves.

Safety is Paramount: The University has an overriding obligation to protect the safety of all University Members. When a University Member is at risk of imminent harm, the Human Rights Office reserves the right to respond appropriately, independent of a Complainant's and/or Respondent's course of action under this procedure.

Support Services: The Office of the Deputy Provost has appropriate mental health support services for Students who experience Discrimination and Harassment or to assist Students who are involved in dispute resolution processes described in this procedure.

Timeliness: All efforts will be made to ensure that proceedings are handled in an expeditious manner. Timeframes, where specified, may be subject to an extension because of Extenuating Circumstances.

PREVENTATIVE MEASURES

Range of Dispute Resolution Options: Several options to resolve human rights concerns are available for Complainants and Respondents. Voluntary and participatory approaches can foster prompt resolution and prevent escalation; particularly when concerns are raised expeditiously. These are opportunities for parties to resolve a dispute, ensure the campus is free from Discrimination and Harassment and address broader issues that caused or contributed to the dispute.

Talk to the person about their behaviour: Where appropriate, Complainants are expected to make good faith efforts to attempt to resolve matters themselves before filing a Report. If a person feels they are experiencing a breach of the Policy, they should immediately make it known to the person responsible that the conduct is unwelcome or offensive. It is important that this message be clear and unambiguous. When presented with a legitimate concern, University Members are expected to make reasonable adjustments to their behaviour to resolve the matter. If addressing the person responsible could lead to safety risks, or is not appropriate, Complainants may pursue other resolution options outlined in this procedure.

Addressing Disrespect and/or Microaggressions: incidents of alleged disrespect, including microaggressions, may be raised to a Person of Authority if attempts to resolve the behaviour directly with the individual are not successful.

Consultation: Students may benefit from having expert information and guidance before deciding how to proceed with a human rights concern. Students can confidentially consult a representative of the Human Rights Office for more information about Human Rights and dispute resolution options. Consultations are confidential and can provide information, coaching, and referrals to assist Students.

REPORTING

The Reporting Process

Incidents of Discrimination and/or Harassment should be promptly reported to a Person of Authority and/or the Human Rights Office, and no later than one (1) year after the last alleged incident of Discrimination or Harassment.

Step 1 – Submitting a Report

- 1. A Report and the corresponding reporting process is initiated when an individual completes the <u>Report form</u>. At a minimum, the Report should include the identification of the individual the Report is about, the issue type and grounds for the complaint, and details about each alleged incident, including dates/times, where the incident(s) occurred, who was involved or present, and what specifically happened.
- 2. The University may initiate an Investigation under these procedures without a Report if the situation represents an immediate risk of harm to an individual or individuals or there is evidence to suggest the existence of alleged systemic issues.
- 3. Students may also Report anonymously (alternatively), but in doing so, may limit their access to the full breadth of options outlined in the Policy and this procedure.

Step 2 – Assessment

- 4. The Human Rights Office will review the Report and any associated information/documentation to determine if the conduct alleged in the Report would amount to Discrimination and/or Harassment. This determination will be based on an assumption that all the alleged facts were true.
- 5. If the allegations set out in the Report would not, if true, amount to Discrimination or Harassment, the Human Rights office will respond to the individual submitting the Report in writing, usually within 30 days, advising that the Report has been reviewed, and that the information provided does not support an allegation of Discrimination or Harassment. If there is another process or resource at the University that would be more appropriate for the subject matter of the Report, the individual will be advised of this alternative process.
- 6. Complaints that even if true do not describe Discrimination and/or Harassment, but nevertheless describe behaviours that are Disrespectful may be referred to an applicable Person of Authority for appropriate follow-up or may be eligible for the voluntary resolution options described in this procedure at the discretion of the Human Rights office.
- 7. If the information provided would, assuming the alleged facts were true, support a finding that Discrimination or Harassment occurred, the following dispute resolution options will be considered.

Step 3 - Interim Measures

8. Upon accepting a Report, the University will immediately determine whether Interim Measures are necessary, considering the severity of the allegations, and the potential risks to University Members. Interim Measures will be implemented where reasonable and appropriate in the circumstances. Person(s) of Authority, the Complainant, Respondent, the Office of Campus Safety or any other relevant stakeholder, may be consulted on a confidential basis, to determine appropriate Interim Measures.

Step 4 - Voluntary Resolution

- 9. A Complainant may choose to pursue a voluntary resolution at any time. A voluntary resolution process, such as Mediation, can be pursued if the Complainant and Respondent consent to such a process and to its format.
- 10. Examples of voluntary resolution processes may include: communicating to a Respondent that the behaviour is unwelcome and must stop; meeting with a Person of Authority to discuss the ways in which future occurrences of the Reported incident can be prevented; training or education for individuals and groups; and Mediation or other alternative dispute resolution processes.
- 11. If the Complainant is satisfied by the actions taken through the voluntary resolution process, the Human Rights office will prepare a written summary of the resolution and the Complainant will confirm acceptance in writing. If accepted, the Report will be deemed resolved. Where those involved in the voluntary resolution process reach an agreed resolution, that resolution will become binding.
- 12. Every effort will be made to complete voluntary resolution activities in thirty (30) days.

Step 5 – Investigation

- 13. An Investigation will be conducted if voluntary resolution options are not appropriate in the circumstances or at the request of the Complainant if voluntary resolutions were not successful in resolving the dispute. Every effort will be made to conclude an Investigation within ninety (90) days following the receipt of a Report or following the conclusion of voluntary resolution activities.
- 14. The purpose of an Investigation is to: gather evidence and witness statements; weigh the evidence; make findings of fact based on the evidence; and produce an Investigation report. In an Investigation under this procedure, the Investigator must make a determination having weighed the evidence on a Balance of Probabilities that either: (1) a Policy breach occurred; or (2) a Policy breach did not occur.
- 15. The Human Rights office will ensure an Investigation process that is appropriate in the circumstances and that follows Administrative Fairness principles.
- 16. The Investigator will commence the Investigation by advising the Respondent in writing that a Report has been received and that they are the subject of an Investigation. The notice will at minimum: (i) advise that an Investigation is being

- initiated, (ii) contain a brief summary of the allegations, and (iii) indicate they will be contacted by the Investigator for a meeting in due course. The notice will also provide contact information for Support Services and will indicate that the Respondent has the right to be supported and accompanied by their legal counsel or other support person.
- 17. At any point during the Investigation, the Investigator may set meetings with any individual to obtain further information. The Investigator also has access to any document or piece of evidence they deem necessary to complete a thorough Investigation. University Members, therefore, are required to cooperate with Investigations commenced under this procedure.
- 18. At a minimum, the Investigator will make reasonable attempts to meet with the Complainant and Respondent and provide them with the opportunity to submit written or other documentary evidence relevant to the case.
- 19. During the Investigation, the Investigator will periodically keep the Complainant and Respondent apprised of the status of the Investigation.
- 20. Based on all available evidence, the Investigator will determine whether there has been a violation of Policy, weighing the evidence on a Balance of Probabilities. The Investigator's determination will be reported in an investigation report. The Complainant and Respondent will receive a copy of a report summarizing the Investigation findings.

Step 6 - Determination & Corrective Action

- 21. The Human Rights Office will ensure that the results of the Investigation are brought to the attention of, and reviewed by, the appropriate Person(s) of Authority.
- 22. If the Investigation found a breach of policy, the Investigator will make recommendations to adequately resolve the inappropriate behavior.
- 23. The Human Rights Office will also review the results of the investigation report for signs of systemic issues that may have contributed to the complaint and may make recommendations to the Person(s) of Authority to explore voluntary resolution options for individuals who continue to experience conflict and/or address signs of systemic issues regardless of whether a breach of Policy was found.
- 24. The Provost's office will be responsible for implementing the Investigator's recommendations. Where the Investigation report identifies that a Student has breached the Policy, decisions on appropriate disciplinary sanctions will be made by the Provost's office pursuant to the Student Conduct Policy. Refer to the Student Conduct Policy for more information about the decision-making process and for details about the review and/or appeal mechanisms that are available to Students.

Monitoring and review

This procedure will be reviewed as necessary and at least every three years. The General Counsel, or successor thereof, is responsible to monitor and review this procedure.

Relevant legislation

Accessibility for Ontarians with Disabilities Act, 2005, S.O. 2005

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Ontario Human Rights Code, R.S.O. 1990, c. H.19

Related policies, procedures & documents

Respectful Campus [Policy]

Accommodation [Policy]

Academic Accommodations for Students with Disabilities [Procedures]

Anti-Hate/Anti-Racism [Guidelines]

Fair Processes [Policy]

Freedom of Expression [Policy]

Student Conduct [Policy]

Student Sexual Violence [Policy and Procedures]

Respectful Campus Policy

Classification number LCG 1107

Framework category Legal, Compliance and Governance

Approving authority Board of Governors
Policy owner General Counsel
Approval date April 22, 2021
Review date April 2024

Last updated February 13, 2023

Purpose

The Respectful Campus Policy ("the "Policy") outlines Ontario Tech University's ("the University") commitment to promote and sustain a respectful and inclusive campus in accordance with the Ontario Human Rights Code ("the "Code"), the Accessibility for Ontarians with Disabilities Act, and the Occupational Health and Safety Act ("OHSA"), and the Ministry of Training, Colleges and Universities Act. The purpose of the Policy is to ensure the campus community is familiar with their various rights, roles, responsibilities and obligations as they relate to preventing and responding to all forms of Harassment and Discrimination and Harassment.

Definitions

For the purposes of this Policy and and associated procedures and guidelines, the following definitions apply:

"Barriers" include attitudes (stereotypes or prejudices), policies, practices, rules and designs that prevent full participation of individuals or groups on the basis of a Protected Ground (defined below).

"Complainant" refers to an individual who <u>ihas filed a Report regarding an</u> alleged <u>to have experienced</u> <u>breach of this policya</u> breach of the <u>Policy</u>.

"Discrimination" is a distinction, without lawful justification, whether intentional or not, which has the effect of denying benefits to, or otherwise disadvantaging, an individual on the basis of a Protected Ground (defined below). Discrimination may involve direct actions that are discriminatory on their face, or it may involve rules, practices or procedures that appear neutral, but have the effect of disadvantaging one or more groups of people.

"Discriminatory Harassment" means engaging in a course of vexatious comment or conduct based on any Protected Ground, that is known or ought reasonably to be known to be unwelcome. Discriminatory Harassment may include, for example, taunting or

mocking someone's race: making, distributing, or posting Hate; ridiculing an individual's disability; or, targeting others with sexual, gender-based or homophobic slurs. While Discriminatory harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute Discriminatory harassment.

"Disrespect" is behavior that falls short of Harassment, but nevertheless has harmful impacts on the campus environment, e.g., rude, inconsiderate and passive aggressive behavior. Disrespect, if left unaddressed, can escalate to Harassment or Discrimination or Harassment.

"Duty to Accommodate" refers to the obligation to eliminate the disadvantage, to the point of undue hardship, caused by barriers that exclude individuals or groups protected under the Code from participating in all aspects of their employment, academic endeavors, or use of facilities and housing on campus. Failure to meet the Duty to Accommodate is a form of Deliscrimination. For more on the Duty to Accommodate see the University's Accommodation Policy.

"Employee" means job applicants and individuals performing services directly on the University's behalf, including administrative staff members, Faculty, volunteers and contract employees. Students who are employed during the course of their studies, are "Employees" for the purposes of this Policy when they are engaged in employment activities, but not otherwise.

"Faculty" includes a Faculty Members at the Ontario Teeh University, and includes those with both limited term and indefinite term appointments, as well as those with paid, unpaid and honorific appointments. For greater certainty, "Faculty" also includes visiting scholars and emeritus professors.

"Harassment" is the term used in this Ppolicy instrument to represent all forms of Hharassment: Discriminatory Harassment, Reprisal, Sexual Harassment, Sexual Misconduct and Workplace Harassment and Sexual Harassment.

For greater contextertainty, Harassment is objectionable and unwanted behaviour that is verbally abusive, vexatious or hostile, that is without reasonable justification, and that creates a hostile or intimidating environment for working, learning or living. Harassment may be intentional or unintentional. While harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute harassment.

Harassing behaviour includes, but is not limited to cumulative demeaning or intimidating comments, gestures or conduct; verbal aggression or yelling; bullying; threats to a person's employment or educational status, person or property; persistent comments or conduct, including ostracism or exclusion of a person, that undermines an individual's self-esteem so as to compromise their ability to achieve work or study goals; abuse of power, authority or position; sabotage of a person's work; humiliating initiation practices;

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hazing; calling someone derogatory names; spreading of malicious rumours or lies; or making malicious or vexatious complaints about a person.

Harassment does not include the exercise of appropriate managerial or supervisory direction, including performance management and the imposition of discipline; constructive criticism; respectful expression of differences of opinions; reasonable changes to assignments or duties; correction of inappropriate behaviour; interpersonal conflict; instructional techniques such as irony, conjecture, and refutation, or assigning readings or other instructional materials that advocate controversial positions; and single incidents of thoughtless, petty or foolish words or acts that cause fleeting harm.

"Hate" for the purposes of this Policy and related procedures and guidelines means:

- a criminal offense committed against a person or property that is motivated in any part by bias, prejudice or hate based on race, national or ethnic origin, language, colour, religion, sex, age, mental or physical disability, sexual orientation, or any other similar factor;—
- any communication used by a person or group that advocates or willfully promotes hatred based on colour, nationality or ethnic origin, race, religion and/or sexual orientation; hatred against any identifiable group, or the incitement of hatred against any identifiable group where such incitement is likely to lead to a breach of the peace and includes advocating genocide; and public communication that willfully promotes Antisemitism by denying, condoning, or downplaying the Holocaust; and/or,
- publishing or displaying or causing the publication or display before the public of any notice, sign, symbol, emblem or other similar representation that indicates the intention of the person to Discriminate or that is intended to incite others to Discriminate.

"JHSC" means the Joint Health & Safety Committee(s) at the Ontario Tech University.

"Mediation" is a structured process during which parties in dispute are assisted by a third-party to discuss a dispute and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate. Depending on the circumstances, Mediation may result in a signed agreement summarizing the agreed upon terms of settlement is a structured process in which parties in dispute are assisted by a third-party to engage in dialogue and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate.

"Microaggression" means a comment or action that negatively targets a group based on a Protected Ground (e.g. a racist, sexist or homophobic comment). Microaggressions may be intentional or accidental, butaccidental but are nonetheless harmful and stigmatizing to a certain group of people. If allowed to go unchallenged, Microaggressions may escalate to Harassment and/or Discrimination and/or Harassment.

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"Person(s) of Authority" includes, for the purposes of this policy and related procedures, and person who has charge of a workplace, authority over another Employee or authority in the administration of education, including supervisors, managers, senior management and Faculty leadership (e.g. Deans, Associates Deans, etc.).

"Poisoned Environment" is a form of indirect Discriminatory Harassment. It occurs when comments or conduct (including comments or conduct that are condoned or allowed to continue when brought to the attention of a Person of Authority), ridicule or demean a person or group based upon a Protected Ground. The comments or conduct need not be directed at a specific person, and may be from any person, regardless of position or status.

"Protected Ground(s)" are the grounds contained in the Ontario Human Rights Code under which individuals are protected against Deiscrimination and Hharassment. All University Members are protected under the following Grounds: "race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, marital status, family status and disability." Employees are additionally protected under the Geround "record of offences."

"Racism" means an abuse of power and privilege based on an ideology of superiority/inferiority between a dominant race over a non-dominant or marginalized population. It marks one set of people as 'other' and 'different' and another set of people as 'normal' or 'better'. means.

"Report" refers to a reported violation of the Policy.

refers to information about Harassment and/or Discrimination that is reported under the applicable procedures.

"Reprisal" is a form of Harassment that includes retaliation, coercion, dismissal, threats or intimidation of anyone who in good faith: raises complaints or concerns, exercises their rights, or participates in a remedial process under this Policy.

"Respect" refers to a standard of interpersonal communication and behaviour characterized by self-restraint and consideration for others.

"Respondent" refers to anyone who is alleged to have breached of the Policy refers to any party who is alleged to have engaged in a breach of this policy.

"Sexual Harassment" means,

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engaging in a course of vexatious comment or conduct because of sex, sexual
orientation, gender identity or gender expression, where the course of comment
or conduct is known or ought reasonably to be known to be unwelcome;

2.—making a sexual solicitation or advance where the person making the solicitation or advance is in a position to confer, grant or deny a benefit or advancement and the person knows or ought reasonably to know that the solicitation or advance is unwelcome; or

a reprisal or a threat of reprisal for the rejection of a sexual solicitation or advance where the reprisal is made or threatened by a person in a position to confer, grant or deny a benefit or advancement to the person.

Sexual Harassment includes but is not limited to, sexually suggestive or obscene remarks or gestures <u>and</u>, negative stereotypical comments based on gender, sex<u>_or</u>sexual orientation, gender identity and gender expression.

"Sexual Misconduct" refers to physical sexual relations with a Setudent, touching of a sexual nature of a sexual relation or remarks of a sexual nature toward a Setudent by an Employee where, the act constitutes an offence under the Criminal Code of Canada, the act amounts to Sexual Harassment as defined in thise Policy, or the act contravenes any other policy, rule or other requirement respecting sexual relations between Eemployees and Setudents, including the Student Sexual Violence Policy and the Ethical Conduct Policy.

"University Member" means any individual who at the time of the alleged Policy violation:

- is employed by the University or holds an appointment with the University, including paid, unpaid and/or honorific appointments ("Employee");
- is registered as a student, in accordance with the academic regulations of the University ("Student"); and/or
- Is otherwise subject to University policies by virtue of the requirements of a specific policy (e.g. Booking and Use of University Space) and/or the terms of an agreement or contract and includes visitors and guest speakers.

"Workplace" means any place where Employees engage in any facet of employment activity (e.g. recruitment, training, evaluation and development), including employment activities online, outside the normal place of work, and employment activities that occur outside of normal working hours.

"Workplace Harassment" means,

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engaging in a course of vexatious comment or conduct against an Employee in a workplace that is known or ought reasonably to be known to be unwelcome (arbitrators have interpreted this form of Workplace Harassment to encompass "serious actions with significant consequences" as opposed to "the normal abrasiveness of daily like in the workplace," examples of which have been found to include interpersonal conflict, personal animosity and employee feuds) and/or,

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4.• Workplace Sexual Harassment - defined as Sexual Harassment against an Employee in the Wworkplace.

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Scope and authority

The University's General Counsel is the Policy Owner. The Policy Owner is responsible for overseeing the implementation, administration, interpretation and application of this Policy.

The Policy Owner will ensure the Policy is reviewed as often as is necessary, and in any event, at least annually, in accordance with the University's Policy Framework and relevant Collective Agreements. The JHSC will be consulted on substantive revisions to this Policy.

This Policy applies to all University Members in all aspects of their engagement with the University.

Ontario Tech University has a <u>Student Sexual Violence Policy</u>, which sets out a framework for ensuring that students who experience sexual violence are directed to appropriate supports and services and that includes a set of procedures that are completely <u>so</u>tudent-driven; meaning <u>S</u>students decide if they want to file a <u>Rformal</u> report or to participate in <u>dispute</u> resolution options. Sexual Harassment, as defined under this policy is also defined as Student Sexual Violence when perpetrated by or against a <u>S</u>student. <u>In the event that If</u> a <u>Ststudent alleges</u> sexual violence against an Employee or an <u>E</u>employee alleges <u>S</u>sexual <u>H</u>harassment by a <u>S</u>student, all of the rights, roles, responsibilities and obligations established under the Student Sexual Violence Policy will apply to the Student and this Policy and its related procedures will be applied to Employees.

This Policy and its corresponding Procedures do not override or diminish the rights provided to Employees under applicable Collective Agreements, including the right to academic freedom afforded to Faculty. Collective Agreements will supersede this Policy to the extent there is a conflict.

This Policy does not preclude prevent University Members from pursuing resolutions or to seek external review of university decisions through external resources and processes, including those offered by the Human Rights Legal Support

Centre, the Human Rights Tribunal of Ontario and the Ontario Labour Relations Board and the Ontario Ombudsman's Office.

Policy

The University promotes a campus environment that is equitable, inclusive and accessible, and does not tolerate, ignore or condone Discrimination or Harassment by or against anyone.

The University is committed to providing a campus environment in which all University Members are treated with dignity, and to fostering a climate of understanding and mutual respect. Excellence in the university community is fostered by promoting the freest possible exchange of information, ideas, beliefs and opinions in diverse forms, and it necessarily includes dissemination and discussion of controversial topics and unpopular points of view. However, <u>Freedom of expression</u> and freedom of inquiry must be exercised responsibly, in ways that demonstrate active concern and respect for others, including their ability to participate meaningfully in the exchange of information, ideas, beliefs and opinions (refer to the University's <u>Freedom of Expression Policy</u>).

The University will maintain a respectful campus environment in which the human dignity of each individual everyone is valued, and the diverse perspectives, ideas and experiences of all members of the community are able to flourish. While misunderstandings and conflicts will occur in a complex, demanding and diverse campus environment where collaboration is essential to success, early and informal approaches to resolution should be sought whenever possible and appropriate.

To promote a respectful campus environment:

- The University will ensure that procedures are in place for the prevention of, and response to Harassment, Discrimination, Harassment and other breaches of this Ppolicy.
- The University will provide information, instruction and assistance to University Members with respect to Harassment and Discrimination and Harassment.
- 3. The University will provide Persons of Authority with information and instruction that will enable them to recognize, assess and address Harassment and Discrimination and Harassment, and to understand how to respond appropriately when such incidents are alleged. The University will also provide Persons of Authority with information and instruction that will enable them to effectively and quickly address disrespectful behavior, Microaggressions and any other behaviours that negatively impact group cohesion.
- Any University Member who believes they have been harassed or d
 <u>D</u>iscriminated against or <u>Harassed</u>, or have witnessed <u>Harassment or</u>
 Discrimination or <u>Harassment</u>, is expected to make good faith efforts to resolve

- their concerns depending on the circumstances, and/or Report the situation in a timely manner.
- 5. The University will administer the processes set out in applicable procedures responding to Reports fairly and promptly, with adequate regard to the unique circumstances of each particular casecase, and in a manner that prioritizes the dignity and privacy of individuals involved.
- 6. Where applicable and appropriate, the University will make available informal dispute resolution processes such as Mediation in an attempt toto resolve disputes and underlying conflict at the heart of a Report.
- 7. The University will inform and update Complainants, Respondents and relevant Persons of Authority (as appropriate) about the status of dispute resolution processes as they progress.
- 8. University Members are protected against Reprisal for submitting a Report in good faith, or for participating in a related dispute resolution process. A University Member who believes they have been penalized for submitting a Report in good faith, or for participating in a related investigation, may pursue the allegation of Reprisal by submitting a Report, and/or may pursue a Reprisal complaint through external processes.
- 9. Reprisal protection does not apply to a University Member who submits a Report that is determined to be frivolous or vexatious, or who exhibits bad faith and/or refuses to cooperate in the course of an investigation; each of which are considered breaches of this policy.
- 10. The University will respect the privacy of individuals involved in Reports and investigations, ensuring information about a Report is not disclosed, except to the extent necessary to investigate, take corrective action, implement measures to protect the health and safety of University Members and/or restore the learning/work environment, or as otherwise required by law.
- 11. Personal Information collected under this Policy will be used only for the purposes of administering this Policy, andPolicy and will be disclosed only on a need-to-know basis, to the extent disclosure is required to fulfill the University's legal obligations under the Human Rights Code, the Occupational Health & Safety Act, and any other applicable law and/or legal obligations, including any applicable collective agreement. Subject to applicable law, Personal Information collected, used and disclosed under this Policy will otherwise be kept confidential, and will be stored and disposed of in accordance with Freedom of Information and Protection of Privacy Act and Ontario Tech University's Records Management Policy.
- 12. University Members who engage in Harassment and/or Discrimination and/or Harassment, Reprisal or other breaches of this policy will be held accountable and may be subject to disciplinary measures, up to and including termination of employment or permanent dismissal of a Studentacademic expulsion.

ROLES AND RESPONSIBILITIES

All University Members

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- Have a shared responsibility for maintaining a respectful environment that is free from Harassment and Discrimination and Harassment.
- Are responsible for familiarizing themselves with this Policy and related procedures and guidelines;
- 3. Will refrain from engaging in Harassment, Discrimination and Harassment, Sexual Misconduct, acts of Reprisal or other breaches of this Prolicy.
- 4. Will build and maintain positive and productive relationships and demonstrate Respect in their interactions.
- 5. Will work cooperatively and constructively to resolve conflicts they encounter and seek assistance from a Person of Authority, as appropriate, regarding any escalating conflict they are unable to resolve themselves.
- 6. Will complete all required Discrimination and Harassment instruction and training within established timelines.
- Will exercise rights under this Policy in good faith using prescribed channels. For more information on reporting <u>Harassment and</u> Discrimination <u>and Harassment</u>, refer to the procedures for <u>Employees</u> and <u>Students</u>.
- 8. Will participate in and cooperate with the <u>rReporting pProcess</u> and/or any related investigation, including respecting confidentiality obligations.
- 9. Will comply with the corrective measures imposed by the University under this Policy, subject to relevant collective agreements and other appeal rights.

Persons of Authority

Persons of Authority are responsible for supporting the Ontario Tech University in its duty to create and maintain a respectful environment that is free from Harassment and Discrimination and Harassment. Therefore, Persons of Authority have additional obligations in addition to the expectations for all University Members (above). Persons of Authority:

- Will cultivate a respectful and inclusive environment where people feel safe to raise concerns:
- Will ensure expected standards under this Policy are adhered to, including addressing and resolving Microaggressions and other disrespectful behavior should they occur;
- 3. Will lead by example, acting with Respect and modelling positive relationship building and constructive conflict resolution skills in dealings with all University Members, and in particular, and particularly those under their authority;
- 4. Are responsible for ensuring University Members under their authority are aware of this Policy and its associated procedures <u>and guidelines</u>;
- Will avoid the appearance of favoritism and unfairness by following and promoting adherence to evidence-based decision-making and the principles of non-Discrimination outlined in this Policy;
- 6. Will consider seriously each incident, concern or Report brought forward by taking immediate action to stop any Discrimination, Harassment or other inappropriate behavior, whether the subject of a formal complaint or not, by following policies and procedures to the extent necessary to ensure compliance

- (note: A Person of Authority cannot agree "to do nothing," even when that is requested by an Employee University Member);
- Will inform the Human Rights Office about all activities related to
 Discrimination and Harassment or other concerns and Reports related to this
 Policy; and,
- Will cooperate with the Human Rights Office to implement recommendations and restore areas under their authority that have been disrupted by alleged or actual Policy violations, or complaint resolution processes.

Human Rights Office

- 1. The Human Rights <u>o</u>Office <u>i</u>Is responsible for the interpretation and administrative direction of this policy and its associated policies, <u>and</u> procedures <u>and guidelines</u> to ensure their compliance with regulatory requirements and will take primary responsibility for updating related procedures applicable to Employees and Students, ensuring that all related procedures are reviewed as often as is necessary in consultation with all appropriate departments.
- 2. The Human Rights QOffice will oversee dispute resolution processes, determine needs and engage specialist support, as necessary, for investigation, fact finding, Mediation or other interventions required to restore the campus to a positive and productive environment, including:
 - receiving and responding to Reports from all University Members while
 ensuring Reports are responded to appropriately using voluntary dispute
 resolution methodsinformal and/investigations-formal dispute resolution
 methods i in a timely and equitable manner, as outlined in this Policy and
 in related procedures and guidelines;
 - ensuring the appropriate departments and/or individuals are advised of a Report, where appropriate; and,
 - 3. ensuring the outcome of an investigation under this Policy, is communicated in writing to Complainant(s), Respondent(s) and relevant Persons of Authority to support corrective and restorative measures.
- 3. The Human Rights Office is also responsible for:
 - ensuring that copies of this Policy and related procedures are posted on thea University website;
 - providing Employees with appropriate information and instruction with respect to <u>Discrimination and Harassment</u>Workplace Harassment, including notifying them of this Policy and its related procedures and guidelines;
 - 2. -and,
 - Submitting an Annual Report to the Board of Governors <u>includingabout</u> statistics and trends in human rights <u>Reportseomplaint activities</u> and other program initiatives.

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Human Resources

- 1. Human Resources is responsible for the following compliance obligations under the *Occupational Health & Safety Act*
 - providing Employees with appropriate information and instruction with respect to Workplace Harassment, including notifying them of this Policy and its related procedures;
 - 2-1.ensuring all EmployeesPersons of Authority are provided with information and instruction that will enable them to recognize, assess and address Workplace Harassment in their respective workplaces, and will ensure Persons of Authority are aware of this Policy and related procedures;
 - 3-2.ensuring that copies of this Policy and employment-related procedures and guidelines are posted on the established health and safety bulletin boards where it is likely to come to the attention of Employees; and,
 - 4-3.ensuring the corrective actions taken as a result of an investigation (if any), are communicated in writing to Complainant(s) and Respondent(s) who are Employees.
- 2. Human Resources is also responsible for:
 - 1.—Providing Persons of Authority with information and instruction that will enable them to effectively and quickly address disrespectful behavior.

 Microaggressions and any other behaviours that negatively impact group cohesion.providing Employees and Persons of Authority with appropriate information and instruction with respect to Discrimination and Discriminatory Harassment, including notifying them of this Policy and its related procedures:

3.

- 2.1. Assisting Persons of Authority, in consultation with the Human Rights
 Office, to address Microaggressions and other disrespectful behaviors that
 occur in the employment context; and,
- 3.2. Assisting Persons of Authority to implement corrective and restorative measures in areas under their authority that have been disrupted by alleged or actual Policy violations, or complaint resolution activities processes.

Student Life

- 1. Student Life is responsible for:
 - Providing Students with appropriate information with respect to <u>Discriminatory Harassment and Discriminatory Harassment</u>, including notifying them of this Policy and its related procedures; and,
 - Assisting Persons of Authority, in consultation with Human Resources and/or the Human Rights office, to address Microaggressions and other disrespectful behaviors that occur in the academic or on-campus housing context.

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Monitoring and review

This Policy will be reviewed as necessary and at least every year. The University's General Counsel, or successor thereof, is responsible to monitor and review this Policy. This Policy will be reviewed as necessary and at least every year. The JHSC will be consulted on substantive revisions to this Policy.

Relevant legislation

Human Rights Code, R.S.O. 1990, c. H.19

Accessibility for Ontarians with Disabilities Act, 2005, S.O. 2005

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Occupational Health and Safety Act, R.S.O. 1990, c O.1, as amended

Ontario Human Rights Code, R.S.O. 1990, c. H.19

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Freedom of Information and Protection of Privacy Act, R.S.O. 1990, c F. 31

Related policies, procedures & documents

4. Academic Accommodation for Students with Disabilities [Procedures]

Access to Information and Protection of Privacy Policy

Accessibility [Policy]

Accessible Customer Service [Policy]

Accommodation for Employees and Job Applicants with Disabilities [Procedures]

Accommodation [Policy]

Anti-Hate/Anti-Racism [Guidelines]

Change of Gender [Policy]

Exempt Academic Staff [Policy]

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Exempt Academic Staff Employment (Deans, Associate Deans and Teaching Staff Governors [Procedures]

Freedom of Expression [Policy]

Limited Term Academic Associates [Procedures]

Emergency Management Plan and Procedures

Fair Processes [Policy]

Non-Academic Staff Policies

Student Sexual Violence [Policy and Procedures]

Procedures tTo Prevent and Address Discrimination and Harassment by or Against Employees [Procedures]

Procedures <u>T</u>to Prevent and Address Discrimination and Harassment by or Against Students <u>[Procedures]</u>

Records Management Policy

Joint Health and Safety Committee Terms of Reference

Occupational Health and Safety Management System

Student Conduct [Policy]

Medical Cannabis Use by Students [Procedures]

Service Animals [Procedures]

Use of Memory Aids by Students with Disabilities [Directives]

Use of Audio Recording of Lectures by Students with Disabilities [Directives]

Procedures for Accommodating Employees and Job Applicants with Disabilities

Procedures for Academic Accommodation for Students with Disabilities

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Procedures to Prevent and Address Discrimination and Harassment by or Against Employees

Classification number LCG 1129.02

Parent policy Respectful Campus Policy

Framework category Legal, Compliance & Governance Board

Approving authority Senior Leadership Team

Policy owner General Counsel
Approval date April 22, 2021
Review date April 2024

Last updated Editorial Amendments: May 22, 2024; February 13, 2023

Purpose

The purpose of this procedure is to establish a dispute resolution framework for the prevention and handling of Employee-based alleged breaches of the Respectful Campus Policy ("the Policy"); ensuring Ontario Tech University ("the University") effectively addresses and responds to these Reports consistent with legislative obligations.

Definitions

For the purposes of thiese procedures the following definitions apply:

"Administrative Fairness" refers to the following set of principles used in the University's the University's Investigation and decision-making processes:

- The Complainant, if any, is given a full and fair opportunity to raise allegations and provide relevant and material evidence in support of those allegations;
- The Respondent knows what the allegations are, receives enough information to
 provide a meaningful response, and is given a full and fair opportunity to provide
 relevant and material evidence responding to the allegations;
- The <u>complaint</u> parties (<u>Complainant and Respondent</u>) receive adequate notice of the nature of the proceedings and of the issue to be decided;
- The decision-maker is required to be impartial and free from bias;
- The decision maker is required to consider all-of the relevant information and
 evidence before them, taking into consideration the circumstances of the
 particular matter, including its complexity and the requirements of fairness and
 due process;
- The decision-making processes run in a timely fashion;

- The complaint parties may have a support person-and/or representation during dispute resolution meetings; and
- The complaint parties are provided the reasons for the decision.

"Balance of Probabilities" means an investigative standard that must be met to determine whether a violation of the Ppolicy has occurred based on a finding that "it was more likely than not" that the offence at issue was committed by the Respondent. This is a lower standard than beyond a reasonable doubt, but more than mere suspicion.

"Complainant" refers to an individual who is alleged to have experienced Discrimination and/or, Harassment and/or Reprisal.

"Day(s)" means all weekdays, excluding statutory holidays and University closure dates as indicated on the University website.

"Discrimination" is a distinction, without lawful justification, whether intentional or not, which has the effect of denying benefits to, or otherwise disadvantaging, an individual on the basis of a Protected Ground (defined below). Discrimination may involve direct actions that are discriminatory on their face, or it may involve rules, practices or procedures that appear neutral, but have the effect of disadvantaging one or more groups of people.

"Discriminatory Harassment" means engaging in a course of vexatious comment or conduct, against a University Member in the course of employment or receipt of service, based on any Protected Ground, that is known or ought reasonably to be known to be unwelcome. Discriminatory Harassment may include, for example, taunting or mocking someone's race, making, distributing, or posting Hate; ridiculing an individual's disability, or targeting others with sexual, gender-based or homophobic slurs. While Discriminatory Harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute Discriminatory Harassment.

"Disrespect" is a behaviour that falls short of Harassment, but nevertheless has harmful impacts on the campus environment, e.g., rude, inconsiderate, and passive aggressive behaviour. Disrespect, if left unaddressed, can escalate to Harassment or Discrimination or Harassment.

"Employee" means job applicants and individuals performing services directly on the University's behalf, including administrative staff members, Faculty, volunteers and contract employees. Students who are employed during the course ofduring their studies, are "Employees" for the purposes of this procedure Policy when they are engaged in employment activities, but not otherwise.

"Extenuating Circumstances" means circumstances outside of an individual'sthe investigator's control that result in delays in the dispute resolution processes described in

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this procedure. Extenuating circumstances include, but are not limited to, having multiple <u>Complainants</u>, <u>Respondents or</u> witnesses, difficulty in scheduling <u>meetings or</u> interviews, availability of resource persons or materials, time of year, involvement of law enforcement or existence of a judicial hearing, or other circumstances that may arise through the course of the <u>dispute resolution activities investigation</u>.

"Faculty" includes a Faculty Member, or previous Faculty Member, at the University Ontario Tech University, and includes those with both limited term and indefinite term appointments, as well as those with paid, unpaid and honorific appointments. For greater certainty, "Faculty" also includes visiting scholars and emeritus professors.

"Harassment" Is the term used in this policy instrument to represent all forms of Harassment: Discriminatory Harassment, Hate, Reprisal, Sexual Harassment, Sexual Misconduct and Workplace Harassment and Sexual Harassment.

"Hate" for the purposes of this procedure is a form of Discriminatory Harassment and refers to:

- a criminal offense committed against a person or property that is motivated in any
 part by bias, prejudice or hate based on race, national or ethnic origin, language,
 colour, religion, sex, age, mental or physical disability, sexual orientation, or any
 other similar factor;
- any communication used by a person or group that advocates or willfully promotes hatred based on colour, nationality or ethnic origin, race, religion and/or sexual orientation; and hatred against any identifiable group, or the incitement of hatred against any identifiable group where such incitement is likely to lead to a breach of the peace and includes advocating genocide; and public communication that willfully promotes Antisemitism by denying, condoning, or downplaying the Holocaust; and/or,
- publishing or displaying or causing the publication or display before the public of any notice, sign, symbol, emblem or other similar representation that indicates the intention of the person to Discriminate or that is intended to incite others to Discriminate.

"Interim Measures" mean temporary measures designed to prevent additional acts of Harassment or Discrimination breaches of the Policy and/or to protect the safety of the Complainant or others. Interim Measures are instituted at any point following a Report and prior to a determination being made-under this Policy. Interim Measures take into consideration the severity of the allegations and the varying risks associated with the potential for subsequent Policy breaches acts of Harassment or Discrimination. Examples of Linterim Measures include, but are nonot limited to, transfer to a different work location, administrative leave, and no contact orders or other safety measures.

"Investigation" refers to a review by the University into alleged breaches of the Policy.

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- "Investigator" refers to an individual assigned under the Policy to complete an Investigation, and who has the required training and experience to conduct a fair and objective Investigation.
- "JHSC" means the Joint Health & Safety Committee(s) at the Ontario Tech University.
- **"Mediation"** is a structured process during which parties in dispute are assisted by a third-party to discuss a dispute and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate. Depending on the circumstances, mediation may result in a signed agreement summarizing the agreed upon terms of settlement.
- "Microaggression" means a comment or action that negatively targets a group based on a Protected Ground (e.g., a racist, sexist or homophobic comment). Microaggressions may be intentional or accidental but are nonetheless harmful and stigmatizing to a certain group of people. If allowed to go unchallenged, Microaggressions may escalate to Harassment and/or Discrimination and/or Harassment.
- "Person(s) of Authority" include, for the purposes of this procedure, any person who has charge of a workplace, authority over another Employee or authority in the administration of education, including supervisors, managers, senior management and Faculty leadership (e.g., Deans, Associates Deans, etc.).
- "Poisoned Environment" is a form of indirect Discriminatory Harassment. It occurs when comments or conduct (including comments or conduct that are condoned or allowed to continue when brought to the attention of a Person of Authority) ridicule or demean a person or group based upon a Protected Ground. The comments or conduct need not be directed at a specific person, and may be from any person, regardless of position or status.
- "Protected Ground(s)" are the Grounds contained in the Ontario Human Rights Code under which individuals are protected against Deliscrimination and Hearassment. All University Members are protected under the following Grounds: "race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, marital status, family status and disability.," Employees are additionally protected under the Geround "record of offences."
- "Report" refers to information about alleged Discrimination and/or Harassment that is reported by or against an Employee. refers to reported allegations of discrimination, harrasment, or repirsal, made by or against a University Employee.
- "Reprisal" is a form of Harassment that includes retaliation, coercion, dismissal, threats or intimidation of anyone who in good faith: raises complaints or concerns, exercises their rights or participates in a remedial process under the Respectful Campus Policy.

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"Respect" is a standard of interpersonal communication and behaviour characterized by self-restraint and consideration for others.

"Respondent" refers to anyone party who is alleged to have engaged in a breached of the Policy.

"Sexual Harassment" means,

- 1.9 engaging in a course of vexatious comment or conduct because of sex, sexual orientation, gender identity or gender expression, where the course of comment or conduct is known or ought reasonably to be known to be unwelcome;
- 2.• making a sexual solicitation or advance where the person making the solicitation or advance is in a position to confer, grant or deny a benefit or advancement and the person knows or ought reasonably to know that the solicitation or advance is unwelcome; or.
- 3.• a reprisal or a threat of reprisal for the rejection of a sexual solicitation or advance where the reprisal is made or threatened by a person in a position to confer, grant or deny a benefit or advancement to the person.

Sexual Harassment includes but is not limited to, sexually suggestive or obscene remarks or gestures, and negative stereotypical comments based on gender, sex or sexual orientation, gender identity and gender expression. For the purposes of this Procedure the term "Sexual Harassment" includes incidents of Student Sexual Violence involving an Employee.

"Sexual Misconduct" refers to physical sexual relations with a Setudent, touching of a sexual nature of a Setudent or behaviour or remarks of a sexual nature toward a Setudent by an Eemployee where, the act constitutes an offence under the Criminal Code of Canada, the act amounts to Sexual Harassment as defined in the Policy, or the act contravenes any other policy, rule or other requirement respecting sexual relations between Eemployees and Setudents, including the Student Sexual Violence Policy and the Ethical Conduct Policy.

"University Member" means any individual who at the time of the alleged Policy violation:

- is employed by the University or holds an appointment with the University, including paid, unpaid and/or honorific appointments ("Employee");
- is registered as a student, in accordance with the academic regulations of the University ("Student"); and/or
- Is otherwise subject to University policies by virtue of the requirements of a specific policy (e.g., Booking and Use of University Space) and/or the terms of an agreement or contract.

"Workplace" means any place where Employees engage in any facet of employment activity (e.g. recruitment, training, evaluation and development), including employment

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"Workplace Harassment" means,

- He engaging in a course of vexatious comment or conduct against an Employee in a Workplace that is known or ought reasonably to be known to be unwelcome.

 Arbitrators have interpreted this form of Workplace Harassment to encompass "serious actions with significant consequences" as opposed to "the normal abrasiveness of daily life in the workplace," examples of which have been found to include "interpersonal conflict," "personal animosity" and "employee feuds," and/or
- 2.• Workplace Sexual Harassment (defined as Sexual Harassment, including virtually through the use of information and communications technology, against an Employee in the Wworkplace).

Scope and authority

The University's General Counsel is the Policy Owner. The Policy Owner is responsible for overseeing the implementation, administration, interpretation and application of thiese Procedures.

The Policy Owner will ensure the Procedures are reviewed as often as is necessary, and in any event, at least annually, in accordance with the University's Policy Framework and relevant Collective Agreements. The JHSC will be consulted on substantive revisions to this Policy instrument.

This Procedure applies to Reports made by or against Employees in the Workplace. Reports with respect to incidents that have occurred on, or off campus will be handled as described below:

- 1. On-Campus The scope includes incidents of Discrimination and Harassment where the Respondent is a University Member and the incident takes place on University space or using University-owned property or equipment, including, but not limited to, telephones, computers, and online media including websites, email, social media accounts, online learning tools and applications provided, managed or self-identified as belonging to the University. This includes the University's website, branded Twitter, and Facebook Live events, as well as online learning and collaboration tools such as Google Apps for education.
- 2. Off-Campus The scope includes incidents of Discrimination and Harassment that occur off-campus where the Respondent is a University Member and:

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- The incident occurred during any organized University class, trip or other employment-related activity, including Varsity Athletics and experiential learning opportunities such as co-op, practicum or during research endeavors;
- The incident occurred during an official University event; or
- In exceptional circumstances, when an incident occurring off-campus or online
 are likely to have an impact on the working, living and/or learning environment at
 the University, or could reasonably be seen to endanger or adversely affect the
 health and safety of University Members.

This procedure necessarily overlaps with other University procedures that are concerned with preventing and addressing incidents of Harassment, Discrimination and HarassmentReprisal. TheOntario Teeh University will administer the overlap according to the following principles:

- 1. This procedure will be applied to all Reports made by or against an Employee to ensure the University satisfies its commitment to, and its obligations under, legislation and collective agreements.
- Reports brought forward by a Student involving an Employee who is accused of a
 breach of the Policy will proceed under this procedure in conjunction with the
 Student procedure or the Student Sexual Violence Policy and Procedures,
 depending on the nature of the allegations.
- 3. Where it is alleged that a Student has, in their capacity as a Student, engaged in Harassment against an Employee, then the matter will be addressed in conjunction with the relevant Student procedures.
- 4. Reports involving a Student who is also an Employee of the University, and whose actions occurred allegedly breached the Policy in the course of their employment, will be handled under this procedure Policy instrument.
- 5. Where an individual, other than a University Member, is alleged to have engaged in a breach of this Policy against an Employee, Human Resources and/or the Office of Campus Safety will consult with <u>University</u> Members at risk, and other <u>University</u> Members if necessary, to determine and implement reasonable measures to protect the health and safety of its Employees. <u>Any Investigation under these circumstances may be limited by the Respondent's status Bbecause the University does not have the jurisdiction to compel statements from members of the general public, or to impose sanctions upon them, it will not normally conduct a formal Investigation in such cases.</u>

This procedure is a mechanism for alternative dispute resolution. It does not preventelude complainants Employees from pursuing resolutions or to seek external review of University decisions through external resources and processes, including those offered through grievance arbitration, or by the Human Rights Legal Support Centre, the Human Rights Tribunal of Ontario and the Ontario Labour Relations Board. However, the Human Rights office may not accept a complaint or may halt dispute resolution processes under this procedure if a Ceomplainant decides to pursue external processes or grievance mechanisms on the same or similar matter unless an Investigation is legislatively required.

Proceedings under this procedure may be carried out prior to, simultaneously with, or following other off-campus proceedings, including civil or criminal proceedings. In cases where the Human Rights Office determines that processing an allegation under this procedure might prejudice another internal or external process, they may suspend these proceedings indefinitely or pause an Investigation pending the outcome of these other proceedings. If an Investigation is paused or suspended, Interim Measures may be used to ensure the safety of all University Members.

Procedure

Confidentiality: Information collected under this procedure will be used only for the purposes of administering the policy instrument and may be disclosed only on a need-to-know basis to the extent required to fulfill the University's legal obligations. Personal Information collected, used, and disclosed under this procedure will otherwise be kept confidential. To this end:

- 1. All individuals involved in this procedure will be advised of their duty to maintain the confidentiality of all information disclosed to them in this procedure, including any confidential information disclosed to them.
- 2. Confidential information obtained during the reporting process will not be disclosed except to the extent that disclosure is necessary for the purposes of investigating Reports, taking corrective action, protecting the health and safety of members of the University community, or as otherwise required by law. For example, information may be shared with the Office of Campus Safety if this is necessary to protect an individual.
- 3. Complainants and Respondents who are Employees will be advised of the outcome of an Investigation and the corrective actions taken, if any.
- 4. All records will be maintained in a confidential file, including all related communications, memoranda, Reports, statements and evidence. Reasonable steps will be taken to protect against unauthorized access to such documents. These records will be retained, and disposed of, in accordance with the Freedom of Information and Protection of Privacy Act and the Ontario Tech University's Records Management Policy.

Reprisal. Any Reprisal, or expressed or implied threat of Reprisal, for making and pursuing a Report under this procedure is itself considered a breach of the corresponding Policy. Any individual experiencing Reprisal may file a Report, and that Report will be processed under this procedure.

Right to a Support Person(s). Complainants and Respondents who Individuals who are parties to a complaint and attend an interview or meeting under this procedure may be accompanied by a support person. The role of a support person is to provide moral support. Parties who choose to attend an interview with a support person must choose a support person who is not otherwise connected to the matter under Investigation (individuals connected to the matter include, for example, such as a witness or fellow Complainant) and will notify the investigator of their support person's name at least two

(2) days24 hours prior to the meeting. In the case of an Employee who is a member of a bargaining unit, the support person may be a union representative. During an investigative interview, a support person will not be permitted to make legal submissions or arguments on behalf of the individual, or to disrupt the interview. In any event, individuals who are being interviewed must answer the interview questions themselves.

Safety is Paramount: Ontario: The Teeh University has an overriding obligation to protect the safety of all University Members. When a University Member is at risk of imminent harm, the Human Rights Office we reserves the right to investigate and respond appropriately, independent of a Complainant's and/or Respondent's course of action under this procedure.

Support Services: The Employee Assistance Program The University's Wellness at Work initiative has appropriate support services for Employees who experience Harassment, Discrimination and/or Harassment Reprisal or to assist Employees who are involved in dispute resolution processes described in this procedure.

Timeliness: All efforts will be made to ensure that proceedings are handled in an expeditious manner. Timeframes, where specified, may be subject to an extension because of Extenuating Circumstances.

PREVENTATIVE MEASURES

Training: The University Human Resources will ensure that information and instruction is provided to Employees regarding Harassment, Discrimination and Harassment Reprisal. Training programs are available on the Health and Safety Website.

Range of Dispute Resolution Options: Several options to resolve Harassment and Discrimination and Harassmenteoneerns are available for Complainants and Respondents. Voluntary and participatory Informal approaches can foster prompt resolution and prevent escalation; particularly when concerns are raised expeditiously. These are opportunities for parties to resolve a dispute, ensure the Workplace is free from Harassment and Discrimination and Harassment and address broader issues that caused or contributed to the dispute.

Talk to the person about their behaviour: Where appropriate, Complainants are expected to make good faith efforts to attempt to resolve matters themselves before filing a complaint. If a person feels they are experiencing—Harassment or Discrimination or Harassment, they should immediately make known to the person responsible that the conduct is unwelcome or offensive. It is important that this message be clear and unambiguous. When presented with a legitimate concern, University Members are expected to make reasonable adjustments to their behaviour to resolve the matter. If addressing the person responsible could lead to safety risks, or is not appropriate, Complainants may pursue other resolution options outlined in thiese procedures.

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Addressing Disrespect and/or Microaggressions: Incidents of alleged disrespect, including microaggressions, may be raised to a Person of Authority if attempts to resolve the behaviour directly with the individual are not successful.individuals are encouraged to raise concerns related to disrespect and/or microaggressions to a Person of Authority.

Consultation: Employees may benefit from having expert information and guidanceadvice before deciding how to proceed with a concern related to this procedure. EmployeesComplainants can consult a Person of Authority or may make an appointment with the Human Rights Office to learn more about human rights and dispute resolution options. Consultations are confidential and can provide information, advice, assistance, coaching, and referrals to assist any EmployeeComplainants.

REPORTING The Reporting Process

Incidents of Harassment, Discrimination and Harassment/or Reprisal should be promptly reported to a Person of Authority and/or the Human Rights office, and no later than one (1) year after the last incident of alleged harassment, dDiscrimination or Harassment reprisal. If the Report is related to an Employee in the Human Rights office, the Report should be directed to the University's General Counsel, in which case the General Counsel will have the responsibilities assigned to the Human Rights office below.

Step 1 - Submitting a Report

- 1. A "Report", and the corresponding r"Reporting pProcess", is initiated when an individual completes the Report form. At a minimum, the Report should include the identification of the individual the Report is about, the issue type and grounds for the complaint, and details about each alleged incident, including dates/times, where the incident(s) occurred, who was involved or present, and what specifically happened.
- Person(s) of Authority who witness or are made aware of <u>alleged</u> incidents of Harassment, Discrimination and/or <u>HarassmentReprisal</u> must initiate a Report to the Human Rights <u>o</u>Office.
- 3. The Human Rights of Harassment, Discrimination and/or Harassment Reprisal in the Workplace, unless such a Report has already been submitted by an individual.

3. —Employees may also report anonymously (alternatively), but in doing so, may limit their access to the full breadth of options outlined in the Policy and this procedure.

Step 2 Interim Measures

4. Upon receiving a Report, the University will immediately determine whether Interim Measures are necessary, considering the severity of the allegations, and the potential risks to University Members. Interim Measures will be implemented

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where reasonable and appropriate in the circumstances. Person(s) of Authority, the Complainant, Respondent, the Office of Campus Safety or any other relevant stakeholder, may be consulted on a confidential basis, to determine appropriate Interim Measures.

Step 23 – Assessment

- 5. The Human Rights office will review the Report and any necessary associated information/documentation to determine if the conduct alleged in the Report would amount to Discrimination and/or; Harassment and/or Reprisal. This determination will be based on an assumption that all ofall the alleged facts were true.
- 6. If the allegations set out in the Report would not, if true, amount to Discrimination or, Harassment and/or Reprisal, the Human Rights office will respond to the individual submitting the Report in writing, usually within 30 days, advising that the Report has been reviewed, and that the information provided does not support an allegation of Discrimination or, Harassment and/or Reprisal under the Respectful Campus Policy. The individual submitting the Report will also be advised that the Human Rights Office Human Rights Office may reconsider the Report if additional and significant information is provided. If there is another process or resource at the University that would be more appropriate for the subject matter of the Report, the individual will be advised of this alternative process.
- 6-7. Complaints that even if true do not describe Discrimination and/or Harassment, but nevertheless describe Disrespectful behaviour, may be referred to an applicable Person of Authority for appropriate follow-up or may be eligible for the voluntary resolution options described in this procedure at the discretion of the Human Rights Office.
- 8. If, on the other hand, the information provided would, assuming the alleged facts were true, support a finding that Discrimination or, Harassment and/or Reprisal had occurred, the following dispute resolution options will be considered.

Step 3 – Interim Measures

9. Upon accepting a Report, the University will immediately determine whether Interim Measures are necessary, considering the severity of the allegations, and the potential risks to University Members. Interim Measures will be implemented where reasonable and appropriate in the circumstances. Person(s) of Authority, the Complainant, Respondent, the Office of Campus Safety or any other relevant stakeholder, may be consulted on a confidential basis, to determine appropriate Interim Measures.

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Step 4 - Voluntary Informal Resolution

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- 10. Where appropriate, a voluntaryn informal resolution process; such as Mediation, can be pursued if the Complainant and Respondent consent to an informal resolution such a process es being pursued and to its format (Note: voluntaryinformal resolution options are not available related to allegations of Workplace Harassment, which require an Iinvestigation).
- 11. Examples of voluntary resolution processes may include: communicating to a Respondent that the behaviour is unwelcome and must stop; meeting with a Person of Authority to discuss the ways in which future occurrences of the reported incident can be prevented; training or education for individuals and groups; and Mediation or other alternative dispute resolution processes.
- 8-12. If the Complainant is satisfied by the actions taken through the voluntary resolution process, the Human Rights Office will prepare a written summary of the resolution, and the Complainant will confirm acceptance in writing. If accepted, the Report will be deemed resolved.
- 9.13. Where those involved in the <u>voluntaryinformal</u> resolution process reach an agreed resolution, that resolution will become binding.
- 14. Every effort will be made to complete voluntary resolution activities within thirty (30) days.
 - 40. Once an informal resolution process has commenced, the Investigation may be delayed, after which, unless a resolution has been reached, the Investigation will re-commence.

Step 5 – Investigation

- 15. An Investigation will be conducted if voluntary resolution options are not appropriate in the circumstances or at the request of the Complainant if voluntary resolution options were not successful in resolving the dispute. Every effort will be made to conclude an Investigation within ninety (90) days following the receipt of a Report or following the conclusion of voluntary resolution activities.
- 11.16. An Investigation will be conducted if informal resolution options are not appropriate in the circumstances or were not successful in resolving the dispute. The purpose of an Investigation is to: gather evidence and witness statements; weigh the evidence; make findings of fact based on the evidence; and,and produce an Investigation we report. In an Iinvestigation under this procedure, the Investigator must make a determination having weighed the evidence on a Balance of Probabilities that either: (1) a policy breach occurred; or (2) a policy breach did not occur.
- 17. The Human Rights office will ensure an Investigation process that is appropriate in the circumstances and that complies witfollowsh Administrative Fairness principlesrequirements. At this stage, consideration will be given to whether the linvestigation will be conducted internally or externally. The Human Rights office will review the collective agreement(s) of any individuals involved in the Report, and Report and will ensure the procedural rights granted to those individuals under their respective collective agreements are maintained.

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- 18. The Investigator will commence the Investigation by advising the Respondent in writing that a Report has been received and that they are the subject of an Investigation. The notice will at minimum: (i) advise that an Investigation is being initiated, (ii) contain a brief summary of the allegations, and (iii) indicate they will be contacted by the Investigator for a meeting in due course. The notice will also provide contact information for Support Services and will indicate that the Respondent has the right to be supported and accompanied by a support person.
- 19. At any point during the Investigation, the Investigator may set meetings with any individual to obtain further information. The Investigator also has access to any document or piece of evidence they deem necessary to complete a thorough Investigation. University Members, therefore, are required to cooperate with Investigations commenced under this procedure.

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- 13. The assigned investigator has the authority to access evidence (documentary, electronic, audio, visual) and schedule meetings as necessary to complete a thorough review of the allegations and to draw findings of fact.
- 20. The Human Rights Office will ensure the Complainant and Respondents involved in an Investigation, and their respective bargaining agent(s), where applicable, are informed and updated regarding the investigation process that will be adopted in each particular case.
- 21. Based on all available evidence, the Investigator will determine whether there has been a violation of the Policy, weighing the evidence on a Balance of Probabilities. The Investigator's determination will be reported in an Investigation report. The Complainant and Respondent will receive a copy of a report summarizing the Investigation findings.

Step 6 – Determination & Corrective Action

14.22.

- 23. The Human Rights Office will ensure that the results of the Linvestigation are brought to the attention of, and reviewed by, the appropriate Person(s) of Authority.
- 24. The Human Rights Office will also review the results of the investigation report for signs of systemic issues that may have contributed to the complaint and may make recommendations to the Person(s) of Authority to explore voluntary resolution options for individuals who continue to experience conflict and/or address signs of systemic issues regardless of whether a breach of Policy was found.

15.

25. In the event that If the Investigation found a breach of the pPolicy, the investigator will make recommendations to adequately resolve the inappropriate behavior and restore the Workplace. Human Resources will advise the Person(s) of Authority with respect to appropriate corrective measures, if any, to be taken, including measures aimed at preventing Reprisal and/or recurrence.

16.

<u>47.26.</u> Where an Employee is found to have engaged in acts of <u>Discrimination</u> and/or Harassment or other breaches of the Policy, <u>Discrimination and/or</u>

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Reprisal, corrective measures may include non-disciplinary actions (e.g., education) or disciplinary measures (e.g., a written reprimand, a suspension or dismissal termination). Human Resources will ensure such reasonable steps are taken to prevent a recurrence.

- 18.27. Employees that are members of a bargaining unit shall have any corrective measure(s) imposed in accordance with applicable collective agreement requirements.
- 19.28. Information about Linvestigation outcomes or corrective actions will be provided in accordance with the procedural requirements of any relevant collective agreement and any applicable laws. At the conclusion of an investigation, The Human Rights office will ensure that any Complainant and any Respondent are informed, in writing, of the outcome of the Linvestigation. Human Resources will ensure that any corrective actionsaction taken or that will be taken are communicated to the Complainant (Employee) and Respondent (Employee) in writing.
- 20.29. The Relevant Person(s) of Authority will be responsible for implementing recommendations (including corrective action) in consultation and with the support of Human Resources.

Monitoring and review

This Procedure will be reviewed as necessary and at least every year. The University's General Counsel, or successor thereof, is responsible to monitor and review this procedure Policy. This procedure will be reviewed as necessary and at least every year. The Policy owner will maintain this procedure in consultation with the JHSC.

Relevant legislation

Accessibility for Ontarians with Disabilities Act, 2005, S.O. 2005

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Human Rights Code, R.S.O. 1990, e. H.19

Occupational Health and Safety Act, R.S.O. 1990, c O.1, as amended

Ontario Human Rights Code, R.S.O. 1990, c. H.19

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Freedom of Information and Protection of Privacy Act, R.S.O. 1990, c F. 31

Related policies, procedures & documents

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Respectful Campus [Policy]

Accommodation [Policy

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Accommodation for Employees and Job Applicants with Disabilities [Procedures]

Anti-Hate/Anti-Racism [Guidelines]

Academic Staff Employment Policies

Access to Information and Protection of Privacy Policy

Emergency Management Plan and Procedures

Fair Processes [Policy]

Freedom of Expression [Policy]

Ethical Conduct [Policy]

Non-Academic Staff Policies

Policy to Prevent and Respond to Sexual Violence for Students

Procedures for Responding to Incidents of Sexual Violence

Records Management Policy

Joint Health and Safety Committee Terms of Reference

Occupational Health and Safety Management System

Student Conduct [Policy]

Student Sexual Violence [Policy and Procedures]

Ontario Tech-Durham College Threat Assessment Procedures

Work Refusal Procedures

Procedures to Prevent and Address Discrimination and Harassment by or Against Students

Classification number LCG 1129.01

Parent policy Respectful Campus Policy

Framework category Legal, Compliance and Governance

Approving authority Governance, Nominations and Human Resources Committee

Policy owner General Counsel
Approval date March 31, 2022
Review date March 2025

Supersedes LCG 1105.01 Harassment and Discrimination Procedures

Purpose

The purpose of this procedure is to establish a dispute resolution framework for the prevention and handling of Student-based Reports of alleged breaches of the Respectful Campus Policy ("the Policy"); ensuring Ontario Tech University ("the University") effectively addresses and responds to these Reports consistent with legislative obligations.

Definitions

For the purposes of thiese procedures the following definitions apply:

"Administrative Fairness" refers to the following set of principles used in the University's Investigation and decision-making processes means that the procedures used in the investigation and decision-making processes adhere to the following elements:

- The Complainant, if any, is given a full and fair opportunity to raise allegations and provide relevant and material evidence in support of those allegations;
- The Respondent knows what the allegations are and receives enough information to provide a meaningful response and is given:
- The Respondent is given a full and fair opportunity to defend against the
 allegations and provide relevant and material evidence responding to the butting
 those allegations;
- The <u>complaint</u> parties (the <u>Complainant and Respondent</u>) receive adequate notice
 of the nature of the proceedings and of the issue to be decided;
- The <u>decision-maker</u> is required to be parties have a right to an impartial decision maker and freedom from bias;

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- The decision maker is required to consider all-of the relevant evidence and
 information and evidence before them, taking into consideration the
 circumstances of the particular matter, including its complexity and the
 requirements of fairness and due process; pertaining to a specific case.
- The decision-making processes run in a timely fashion:
- The <u>complaint</u> parties <u>may have</u> a right to a support person and/or representation during dispute resolution meetings; and
- The <u>complaint</u> parties are provided the reasons for the decision.
- **-"Balance of Probabilities"** means an investigative standard that must be met to determine whether a violation of the pPolicy has occurred based on a finding that "it is more likely than not" that the offence at issue was committed by the Respondent. This is a lower standard than beyond a reasonable doubt, but more than mere suspicion.
- "Complainant" refers to an individual who is alleged to have experienced Discrimination and/or Harassmenta breach of the Policy.

"Day(s)" means all weekdays, excluding statutory holidays and University closure dates as indicated on the University website.

"Discrimination" is a distinction, without lawful justification, whether intentional or not, which has the effect of denying benefits to, or otherwise disadvantaging, an individual on the basis of a Protected Ground (defined below). Discrimination may involve direct actions that are discriminatory on their face, or it may involve rules, practices or procedures that appear neutral, but have the effect of disadvantaging one or more groups of people.

"Discriminatory Harassment" means engaging in a course of vexatious comment or conduct, against a University Member based on any Protected Ground, that is known or ought reasonably to be known to be unwelcome. Discriminatory Harassment may include, for example, taunting or mocking someone's race, making, distributing or positing Hate, ridiculing an individual's disability or targeting others with sexual, genderbased or homophobic slurs. While Discriminatory Harassment usually consists of repeated acts, a single serious incident that has a lasting harmful effect may also constitute Discriminatory Harassment.

"Disrespect" is behavior that falls short of Harassment, but nevertheless has harmful impacts on the campus environment, e.g., rude, inconsiderate and passive aggressive behavior. Disrespect, if left unaddressed, can escalate to Harassment or Discrimination and/or Harassment.

"Extenuating Circumstances" means circumstances outside <u>an individual's of an individual's</u> control that result in delays in the dispute resolution processes described in this procedure. Extenuating circumstances include, but are not limited to, having multiple <u>Complainants</u>, <u>Respondents</u>, <u>Reports or</u> witnesses, difficulty in scheduling <u>meetings or</u> interviews, availability of resource persons or materials, time of year, involvement of law

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enforcement or existence of a judicial hearing, or other circumstances that may arise through the course of the <u>dispute resolution activities investigation</u>.

"Harassment" for the purposes of this procedure Includes Discriminatory Harassment (with the exception of sexual harassment and sexual misconduct, which are addressed under the University's Student Sexual Violence Policy and Procedure). Hate and Reprisal_(defined below). Harassment normally includes a series of incidents but can be one severe incident which has a lasting harmful impact on the individual.

"Hate" for the purposes of this procedure, is a form of Discriminatory Harassment and refers to:

- a criminal offense committed against a person or property that is motivated in any part by bias, prejudice or hate based on race, national or ethnic origin, language, colour, religion, sex, age, mental or physical disability, sexual orientation, or any other similar factor;
- any communication used by a person or group that advocates or willfully
 promotes hatred based on colour, nationality or ethnic origin, race, religion and/or
 sexual orientation; hatred against any identifiable group, or the incitement of
 hatred against any identifiable group where such incitement is likely to lead to a
 breach of the peace and includes advocating genocide; and public communication
 that willfully promotes Antisemitism by denying, condoning, or downplaying the
 Holocaust; and/or,
- publishing or displaying or causing the publication or display before the public of any notice, sign, symbol, emblem or other similar representation that indicates the intention of the person to Discriminate or that is intended to incite others to Discriminate.

"Interim Measures" means temporary measures designed to prevent additional breaches of the Policy and/or to protect the safety of the Complainant or others. Interim Measures may be instituted at any point following a Report and prior to a determination being made. Interim Measures take into consideration the severity of the allegations and the varying risks associated with the potential for subsequent Ppolicy breaches. Examples of Linterim Measures include, but are not limited to, a no-contact order, trespass or restricted access order, suspension, exclusion from athletic or other extra-curricular activities, limiting access to services or facilities, or other safety measures.

"Investigation" refers to means a review by the University part of the Dispute Resolution Process in which the University conducts a systematic inquiry into alleged Discrimination and/or Harassment breaches of the Policy.

"Investigator" refers to an individual assigned under the Policy to complete an Investigation, and who has the required training and experience to conduct a fair and objective Investigation. means an individual who has the required training and experience to conduct a fair and objective Investigation.

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- **-"Mediation"** is a structured process during in which parties in dispute are assisted by a third-party to discuss a dispute and attempt to arrive at a mutually agreeable resolution. Mediation is a voluntary process and can only proceed if all parties involved agree to participate. Depending on the circumstances, mediation may result in a signed agreement summarizing the agreed upon terms of settlement.
- "Microaggression" means a comment or action that negatively targets a group based on a Protected Ground (e.g. a racist, sexist or homophobic comment). Microaggressions may be intentional or accidental, but accidental but are nonetheless harmful and stigmatizing to a certain group of people. If allowed to go unchallenged, Microaggressions may escalate to Harassment and/or Discrimination and/or Harassment.
- "Person(s) of Authority" includes any person who has charge of a workplace, authority over another Employee or authority in the administration of education. Anyone who supervises an Employee at Ontario Tech University is a Person of Authority. For the purposes of this procedure, Faculty members, and Faculty Leadership (e.g. Deans, Associates Deans, etc.) are also considered Persons of Authority vis-à-vis their relationship to Students.
- "Poisoned Environment" is a form of indirect Discriminatory Harassment. It occurs when comments or conduct (including comments or conduct that are condoned or allowed to continue when brought to the attention of a Person of Authority) ridicule or demean a person or group based upon a Protected Ground. The comments or conduct need not be directed at a specific person, and may be from any person, regardless of position or status.
- "Protected Ground(s)" are the Grounds contained in the Ontario Human Rights Code under which individuals are protected against Deliscrimination and Haarassment. Students are protected under the following Grounds: "race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, gender identity, gender expression, age, marital status, family status and disability."
- "Racism" means an abuse of power and privilege based on an ideology of superiority/inferiority between a dominant race over a non-dominant or marginalized population. It marks one set of people as 'other' and 'different' and another set of people as 'normal' or 'better'.
- "Report" refers to information about alleged Discrimination and/or Harassmenta Reported violation of the Respectful Campus Policythat is reported by or against a Student.
- **"Reprisal"** is a form of Harassment that includes retaliation, coercion, dismissal, threats or intimidation of anyone who in good faith: raises complaints or concerns, exercises their rights, or participates in a remedial process under the Policy.

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"Respect" is a standard of interpersonal communication and behaviour characterized by self-restraint and consideration for others.

"Respondent" refers to anyone who is alleged to have engaged in a breach of the Policy Discrimination and/or Harassment.

"Student" means an individual who is currently registered in any course or program of study at Ontario Tech, or who was registered as a Student at the time of the alleged breach of the Policy.

"Support Services Worker" means services administered by a trained and registered mental health counsellor in Student Mental Health Services assigned responsibility for providing support for Students who have experienced, are accused of or have witnessed a breach of the Policy.

"University Member" means any individual who:

- is employed by the University or holds an appointment with the University, including paid, unpaid and/or honorific appointments ("Employee");
- is registered as a student, in accordance with the academic regulations of the University ("Student"); and/or
- Is otherwise subject to University policies by virtue of the requirements of a specific policy (e.g. Booking and Use of University Space) and/or the terms of an agreement or contract and includes visitors and guest speakers.

"Working Days" means all weekdays, excluding statutory holidays and University closure dates as indicated on the University website.

Scope and authority

The University Secretary and General Counsel is the Policy owner. The Policy owner is responsible for overseeing the implementation, administration, interpretation and application of thiese procedures.

This pProcedure applies to Reports made by or against Students. Reports with respect to incidents that have occurred on, or off campus will be handled as described below:

1. On-Campus – The scope includes incidents of Discrimination and Harassment where the Respondent is a University Member and the incident takes place on University space or using University-owned property or equipment, including, but not limited to, telephones, computers, and online media including websites, email, social media accounts, online learning tools and applications provided, managed or self-identified

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as belonging to the University. This includes the University's website, branded Twitter, and Facebook Live events, as well as online learning and collaboration tools such as Google Apps for education.

- 2. Off-Campus The scope includes incidents of Discrimination and Harassment that occur off-campus where the Respondent is a University Member and:
 - The incident occurred during any organized University class or extra-curricular activity, including Varsity Athletics and experiential learning opportunities such as co-op, practicum or during research endeavors;
 - The incident occurred during an official University event; or
 - In exceptional circumstances, when an incident occurring off-campus or online
 are likely to have an impact on the working, living and/or learning environment at
 the University, or could reasonably be seen to endanger or adversely affect the
 health and safety of University Members.

This Procedure applies to Reports made by or against Students. This pProcedure necessarily overlaps with other University procedures that are concerned with preventing and addressing incidents of Harassment and Discrimination and Harassment. Ontario TechThe University will administer the overlap according to the following principles:

- Reports brought forward by a University Student involving a Respondent who
 was, at the time of the incident(s), acting in the capacity of an University
 Employee, including a Respondent who was a Student acting in the capacity as an
 University Employee (e.g., Teaching Assistants), will proceed under this
 procedure in conjunction with the Employee procedures.
- 2. Reports brought forward by an University Employee involving a University Student who is accused of a breach of the Policy will also proceed under this procedure in conjunction with the Employee procedures.
- 3. Allegations of student sexual violence, including alleged incidents of sexual hharassment involving a Student, will be handled in the manner prescribed under the Student Sexual Violence Policy and Procedures.
- 4. Students who wish to challenge a grade because of alleged Harassment or Discrimination or Harassment are expected to make use of the grade appeal and reappraisal procedures as a first step. At the conclusion of the grade appeal and/or reappraisal processes, the Student may elect to file a Report under this procedure if they remain dissatisfied. In such instances, the Human Rights office will review the information and evidence gathered during the grade appeal and/or reappraisal processes to determine whether any outstanding allegations warrant further action under this pProcedure.
- 5. Where an individual, other than a University Member, is alleged to have engaged in a breach of the Policy against a Student, the Office of Campus Safety will consult with <u>University</u> Members at risk, and other <u>University</u> Members if necessary, to determine and implement reasonable measures to protect the health and safety of its Students. Because the University does not have the jurisdiction

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to compel statements from members of the general public, or to impose sanctions upon them, it will not normally conduct a formal Investigation in such cases.

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This Procedure is a mechanism for alternative dispute resolution. It does not prevent Students from pursuing resolutions or to seek external review of University decisions through external resources and processes, including, but not limited to, those offered by the Human Rights Legal Support Centre, the Human Rights Tribunal of Ontario, and the Ontario Ombudsman's Office. It does not preclude Complainants from pursuing resolution through external resources and processes, including those offered through the Human Rights Tribunal of Ontario. However, the Human Rights office may not accept a complaint or may halt dispute resolution processes under this procedure if a Ceomplainant decides to pursue external processes on the same or similar matter.

Proceedings under this procedure may be carried out prior to, simultaneously with, or following other off-campus proceedings, including civil or criminal proceedings, at the discretion of the Human Rights office. In cases where the Human Rights office determines that processing an allegation under this procedure might prejudice another internal or external process, they may suspend these proceedings indefinitely or pause an Investigation pending the outcome of these other proceedings. If an Investigation is paused or suspended, Interim Measures may be used to ensure the safety of all University Members.

Notice: All notifications required under thisese pProcedures will be delivered by email to a ontariotechu.netan ontariotechu.net or ontariotechu.ca account, as applicable.

- 1. Emails will be deemed received by a Student one (1) Working Day after they are sent. All Ontario Tech Students are required to monitor their ontariotechu.net accounts regularly, including during examination periods.
- A Student's failure to monitor their email account will not give rise to any right of appeal under thisese Investigation procedures.

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Procedure

This procedure assumes that a centralized process is necessary to ensure uniformity and fairness in dealing with alleged violations of the Respectful Campus Policy involving Students. It is intended to provide a framework that protects confidentiality and ensures that, as far as possible, the initiation and pursuit of a Reformal report will not be an intimidating experience. Accordingly, the emphasis is on voluntaryinformal resolution, using facilitation and negotiation, save where the nature of the matter necessitates a more formal resolution process. The University intends that the existence of this document should help create an environment that nurtures and supports the work of all University Members.

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Confidentiality: All records will be maintained in a confidential file, including all related communications, memoranda, Reports, statements and evidence. Reasonable steps will be taken to protect against unauthorized access to such documents. These records will be retained, and disposed of, in accordance with the Freedom of Information and Protection of Privacy Act and the University's Records Management Policy.

Complaint information and evidentiary details will be kept confidential, except under the following circumstances:

- The Complainant chooses to proceed with a formal Report, in which case information will be shared only to the extent necessary to achieve Administrative Fairness, and as otherwise required by law;
- il-nformation is received suggesting there is a clear risk of harm, including self-harm, to the Student or other individuals;
- rReporting is required by law (e.g., the suspected abuse or neglect of someone
 under 16 years of age, which will be reported to the Children's Aid Society, or
 reporting is required under the Occupational Health and Safety Act or
 by a
 regulatory body); and,
- <u>i</u>Information is required for a police investigation, or for litigation purposes.

Timeliness: All efforts must be made to ensure that proceedings are handled in an expeditious manner. Timeframes, where specified, may be subject to an extension only as a result of Extenuating Circumstances.

Safety is Paramount: Ontario Tech University has an overriding obligation to protect the safety of all University Members. When a University Member is at risk of imminent harm, we reserve the right to respond appropriately, independent of a Complainant's and/or Respondent's course of action under this Procedure.

Support Services: <u>Student Life</u> has appropriate mental health support services for Students who experience Harassment and Discrimination or to assist Students who are involved in dispute resolution processes described in this Procedure.

Reprisal: Any Reprisal, or expressed or implied threat of Reprisal, for making and pursuing a Report under this procedure is itself considered a breach of the corresponding Policy. Any individual experiencing Reprisal may file a Report, and that Report will be processed under this procedure.

Right to a Support Person(s). Complainants and Respondents who attend an interview or meeting under this procedure may be accompanied by a support person or legal representative. The role of a support person is to provide moral support. Individuals who choose to attend an interview with a support person must choose a support person who is not otherwise connected to the matter under Investigation (individuals connected to the matter include, for example, i.e. a witness or fellow Complainant) and will notify the Human Rights office of their support person's name at least two (2) days 24 hours prior to the meeting. During an investigative interview, a support person will not be permitted to make legal submissions or arguments on behalf of the individual, or to disrupt the

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interview. In any event, individuals who are being interviewed must answer the interview questions themselves.

<u>Safety is Paramount: The Ontario Teeh</u> University has an overriding obligation to protect the safety of all University Members. When a University Member is at risk of imminent harm, the Human Rights Office we reserves the right to respond appropriately, independent of a Complainant's and/or Respondent's course of action under this procedure.

<u>Support Services: The Office of the Deputy ProvostStudent Life</u> has appropriate mental health support services for Students who experience <u>Harassment and Discrimination and Harassment</u> or to assist Students who are involved in dispute resolution processes described in this <u>pProcedure</u>.

Timeliness: All efforts will be made to ensure that proceedings are handled in an expeditious manner. Timeframes, where specified, may be subject to an extension because of Extenuating Circumstances.

Reprisal: Any Reprisal, or expressed or implied threat of Reprisal, for making and pursuing a Report under this Procedure is itself considered a breach of the corresponding Policy. Any individual experiencing Reprisal may file a Report, and that Report will be processed under this procedure.

PREVENTATIVE MEASURES

Range of Dispute Resolution Options: Several options to resolve hHuman rights concerns are available for Complainants and Respondents. Voluntary and participatory Informal approaches can foster prompt resolution and prevent escalation; particularly when concerns are raised expeditiously. These are opportunities for parties to resolve a dispute, ensure the campus is free from Harassment and Discrimination and Harassment and address broader issues that caused or contributed to the dispute.

Talk to the person about their behaviour: Where appropriate, Complainants are expected to make good faith efforts to attempt to resolve matters themselves before filing a Report. If a person feels they are experiencing a breach of the Policy, they should immediately makemake it known to the person responsible that the conduct is unwelcome or offensive. It is important that this message be clear and unambiguous. When presented with a legitimate concern, University Members are expected to make reasonable adjustments to their behaviour to resolve the matter. If addressing the person responsible could lead to safety risks, or is not appropriate, Complainants may pursue other resolution options outlined in thisese procedures.

Addressing Disrespet and/or Microaggressions Talk to a Person of Authority: incidents of alleged disrespect, including microaggressions, may be raised to a Pperson of Authority if attempts to resolve the behaviour directly with the individual are not successful.

Consultation: Students may benefit from having expert information and guidanceadvice before deciding how to proceed with a human rights concern. Students can confidentially consult a representative of the Human Rights Office for more information about Human Rights and Dispute Resolution options. Consultations are confidential and can provide information, coaching, and referrals to assist Students Complainants.

REPORTING

The Reporting Process

Incidents of Harassment and/or Discrimination and/or Harassment should be promptly reported to a Person of Authority and/or r-the Human Rights Office, and no later than one (1) year after the last incident of alleged Harassment or Discrimination or Harassment.

Step 1 - Submitting a Report

4.—A Report and the corresponding reporting process is initiated when an individual completes the Report form. At a minimum, the Report should include the identification of the individual the Report is about, the issue type and grounds for the complaint, and details about each alleged incident, including dates/times, where the incident(s) occurred, who was involved or present, and what specifically happened. Student may initiate a "Report", and the corresponding "Reporting Process", by completing the Report form that is available from the Human Rights Office.

2. The University may initiate an Investigation under these Investigation

pProcedures without a Reportformal written Complaint if the situation represents an immediate risk of harm to an individual or individuals or there is evidence to

- suggest the existence of <u>alleged</u> systemic issues.
- Students may also Report anonymously (alternatively), but in doing so, may limit
 their access to the full breadth of options outlined in the Policy and this
 procedure.

Step 2 - Interim Measures

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Upon receiving a Report, the University will immediately determine whether Interim Measures are necessary, considering the severity of the allegations, and the potential risks to University Members. Interim Measures will be implemented where reasonable and appropriate in the circumstances. Person(s) of Authority, the Complainant, Respondent, the Office of Campus Safety or any other relevant stakeholder, may be consulted on a confidential basis, to determine appropriate Interim Measures.

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Step 23 — Assessment

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- 4. The Human Rights Office will review the Report and any associated information/documentation to determine if the conduct alleged in the Report would amount to Discrimination and/or Harassment. This determination will be based on an assumption that all-ofall the alleged facts were true. The Human Rights Office may meet with any person to assess the nature and validity of the Complaint. Meetings will be conducted in a sensitive, confidential and tactful manner.
- 5. If the allegations set out in the Report would not, if true, amount to Discrimination or Harassment, the Human Rights office will respond to the individual submitting the Report in writing, usually within 30 days, advising that the Report has been reviewed, and that the information provided does not support an allegation of Discrimination or Harassment. The individual submitting the Report will also be advised that the Human Rights Office may reconsider the Report if additional and significant information is provided. If there is another process or resource at the University that would be more appropriate for the subject matter of the Report, the individual will be advised of this alternative process.
- 5-6.ComplaintsComplaints that even if true do not describe Discrimination and/or

 Harassment do not meet the requirements for a formal report under relevant
 policy, but nevertheless describe behaviours that are Disrespectful contrary to the
 University's values, (e.g. rude, disrespectful, antagonizing behaviour) may be
 referred to anthe applicable Person of Authority for appropriate follow-up or may
 be eligible for the voluntaryinformal resolution optionsprocesses described in this
 procedure at the discretion of the Human Rights office.
- 7. If , on the other hand, the information provided would, assuming the alleged facts were true, support a finding that Discrimination or Harassment had occurred occurred, the following below dispute resolution options will be considered.

Step 3 - Interim Measures

8. Upon accepting a Report, the University will immediately determine whether Interim Measures are necessary, considering the severity of the allegations, and the potential risks to University Members. Interim Measures will be implemented where reasonable and appropriate in the circumstances. Person(s) of Authority, the Complainant, Respondent, the Office of Campus Safety or any other relevant stakeholder, may be consulted on a confidential basis, to determine appropriate Interim Measures.

6.

Step 44 - Voluntary Informal Resolution

7.9.A Complainant may choose to pursue a voluntaryn Informal rResolution at any time. A Where appropriate, a voluntaryn informal resolution process, such as

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<u>Mediation</u>, can be pursued if the Complainant and Respondent consent to <u>such</u> <u>an informal resolution</u> process <u>being pursued</u> and to its format.

- 8.10. Examples of voluntaryInformal rResolution processes may, depending on the circumstances, include: communicating to a Respondent that the behaviour is unwelcome and must stop; meeting with a Person of Authority to discuss the ways in which future occurrences of the Reported disclosed incident can be prevented; training or education for individuals and groups; and Mediation or other alternative dispute resolution processes.
- 9. The informal resolution process may result in one of the following outcomes:
- 11. If the Complainant is satisfied by the actions taken through the voluntary Informal resolution process, the Human Rights office will prepare a written summary of the resolution and the Complainant will confirm acceptance in writing. If accepted, the Report will be deemed resolved. Where those involved in the voluntary resolution process reach an agreed resolution, that resolution will become binding.

1

12. Every effort will be made to complete voluntary resolution activities in thirty (30) days.

 If no satisfactory resolution is achieved, the Complainant may consider pursuing a Formal Resolution.

Once an informal resolution process has commenced, an Investigation may be delayed for a maximum period of 30 calendar days, after which, unless a resolution has been reached, the Investigation will proceed.

Step 5 - Investigation

10.

- 13. An Investigation will be conducted if <u>voluntaryinformal</u> resolution options are not appropriate in the circumstances or <u>at the request of the Complainant if voluntary resolutions</u> were not successful in resolving the dispute. Every effort will be made to conclude an <u>I</u>investigation within <u>ninetythirty</u> (930) days following the receipt of a <u>Rformal report or following the conclusion of voluntary resolution activities</u>.
- 11.14. The purpose of an Investigation is to: gather evidence and witness statements; weigh the evidence; make findings of fact based on the evidence; and produce an Investigation report. In an Investigation under this Procedure, the Investigator must make a determination having weighed the evidence on a Balance of Probabilities that either: (1) a Prolicy breach occurred; or (2) a Prolicy breach did not occur.
- 12.15. The Human Rights office will ensure an Investigation process that is appropriate in the circumstances and that followscomplies with Administrative Fairness principles requirements.
- 13.16. The Investigator will commence the Investigation by advisinge the Respondent in writing that a Report has been received and that they are the subject of an Investigation of a Report. This notice will, at minimum, be

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delivered to a ontariotechu.net or ontariotechu.ca email account, as applicable.

The notice will at minimum: (i) advise that an Linvestigation is being initiated, (ii) contain a brief summary of the allegations, and (iii) indicate they will be contacted by the Investigator for a meeting in due course. The notice will also provide contact information for Support Services, and Services and will indicate that the Respondent has the right to be supported and accompanied by their legal counsel and/or other support person.

- 14. The Investigator will keep all information obtained during an Investigation confidential, and all relevant documents, including electronic documents, will be kept securely.
- 45.17. At any point during the Investigation, the Investigator may set meetings with any individual to obtain further information. The Investigator also has access to any document or piece of evidence they deem necessary to complete a thorough Linvestigation. University Members, therefore, are required to cooperate with Investigations formal resolution processes commenced under this procedure.
- 16.18. At a minimum, the Investigator will make reasonable attempts to meet with the Complainant and the Respondent and provide them with the opportunity to submit written or other documentary evidence relevant to the case.
- 17. The Investigator may also choose to seek information from other witnesses, taking care to ensure that they are given a reasonable opportunity to understand the allegations and provide relevant information.
- 19. During the course of During the Linvestigation, the Investigator will periodically keep the Complainant and Respondent apprised of the status of the Linvestigation and the expected time to completion.
- 20. Based on all available evidence, the Investigator will determine whether there has been a violation of Policy, weighing the evidence on a Balance of Probabilities. The Investigator's determination will be reported in an investigation report. The Complainant and Respondent will receive a copy of a report summarizing the Investigation findings.

Step 6 - Determination & Corrective Action

18.

- 21. The Human Rights Office will ensure that the results of the Investigation are brought to the attention of, and reviewed by, the appropriate Person(s) of Authority.
- 19. Based on all available evidence, the Investigator will determine whether there has been a violation of Policy, weighing the evidence on a Balance of Probabilities. The Investigator's determination will be reported in an Investigative Report. The Complainant and Respondent will receive a copy of a report summarizing the investigation findings.
- 22. In the event that If the Investigation found a breach of policy, the Investigator will make recommendations to adequately resolve the inappropriate behavior.
- 23. The Human Rights Office will also review the results of the investigation report for signs of systemic issues that may have contributed to the complaint and may make recommendations to the Person(s) of Authority to explore voluntary resolution options

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for individuals who continue to experience conflict and/or address signs of systemic issues regardless of whether a breach of Policy was found.

20.

21.24. The Human Rights Office will ensure that the results of the investigation are brought to the attention of, and reviewed by, the Provost's Office. The Provost's Office will be responsible for implementing the Linvestigator's recommendations. Where the Linvestigation report identifies that a Student has breached the Ppolicy, decisions on appropriate disciplinary sanctions will be made by the Provost's Office pursuant to the Student Conduct Policy. Refer to the Student Conduct Policy for more information about the decision-making process and for details about the review and/or appeal mechanisms that are available to Students.

Monitoring and review

Thisese procedures will be reviewed as necessary and at least every three years. The General Counsel, or successor thereof, is responsible to monitor and review thisese procedures.

Relevant legislation

Accessibility for Ontarians with Disabilities Act, 2005, S.O. 2005

Ministry of Training, Colleges and Universities Act, R.S.O. 1990, c. M.19

Ontario Human Rights Code, R.S.O. 1990, c. H.19Human Rights Code

Related policies, procedures & documents

Respectful Campus [Policy]

Accommodation [Policy]

Academic Accommodations for Students with Disabilities [Procedures]

Anti-Hate/Anti-Racism [Guidelines]

Fair Processes [Policy]

Freedom of Expression [Policy]

Respectful Campus Policy

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Student Conduct [Policy]
Student Sexual Violence [Policy and Procedures]

Appendix A

Respectful Campus Policy and Procedures

Feedback on proposed 2025 Revisions

Policy Advisory
Committee
Sections: 1-3

Academic Council
Sections: 4-9

Joint Health and
Safety Committees
Sections: 10-10

Online Consultation
Section: 11-25

Administrative
Leadeship Team
No feedback

Policy Feedback

	Policy	Proposed Revision	Response	Incorporated vs. Not Incorporated
1	Hate Definition	There is no criminal offense called Hate.	This is not in dispute, but the criminal code includes provisions for courts to consider whether hate was a motivating factor in a crime during sentencing.	Not incorporated
2	Hate definition	Concern about reference to intent in the third bullet.	Bullet #3 is verbatim from the Ontario Human Rights Code.	Not incorporated
3	Typos and Grammar	Minor typos and grammar content	Revised where appropriate or necessary	Incorporated
4	Reference to Student Life	Replace with Office of the Deputy Provost	Revised	Incorporated
5	Definition of Hate	Add willful to definition to align with definition in the criminal code	revised	Incorporated
6	Definition of Hate	adds to the definition of "hate" the publication or display of notice, sign or symbol with the intent to "discriminate." However, the policy does not specify discrimination against	the definition of Discrimination in the policy notes that it must be connected to a protected ground.	Not incorporated

		what. It should be corrected to "discriminate on protected grounds".		
7	Section 18 Employee Procedures	the investigator has been required to provide respondent with a "brief summary of allegations", but there is nothing in the procedure to compel the investigator to provide the respondent with full details of the allegation, including date and time, location, etc. To achieve fairness, the respondent should be entitled to receiving a full copy of the complaint against them.	The Procedures note that "at minimum" a brief summary of the allegations is provided when notifying a respondent that an investigation has commenced. The Procedures also note that investigations will be completed in adherence to Administrative Fairness principles, which includes: "The Respondent knows what the allegations are, receives enough information to provide a meaningful response, and is given a full and fair opportunity to provide relevant and material evidence responding to the allegations"	Not incorporated
8	Scope and Authority - Procedures	Section on Off-campus activities vague: "Exceptional Circumstances" have not been defined clearly, leaving it entirely to the discretion of the administration to decide whether an off-campus online activity falls under this case or not. The faculty and students need to know beforehand when and how an off-campus activity might fall under this scenario.	This is addressed in the procedures: "when an incident occurring off-campus or online are likely to have an impact on the working, living and/or learning environment at the University, or could reasonably be seen to endanger or adversely affect the health and safety of University Members."	Not Incorporated
9	Section 19 Employee Procedures	The investigator "may" set meeting with the complainant and/or respondent, but the policy does not mandate it. This is fundamentally unfair to conduct investigations without meeting with the respondent. The policy should mandate a fair meeting where the respondent would be able to present their defence.	This section is not a reference to complainants and respondents; it's a reference to the authority of an investigator to seek interviews and evidence, and confirms that university members are obligated to cooperate with a human rights investigation.	Not Incorporated
10	Definition – Workplace Harassment	Where are these quotes from? Why not use the OHSA definition or link to the ESO? "Workplace harassment is defined in the OHSA as "engaging in a course of vexatious comment or conduct against a worker in a workplace, including virtually through the use of information and	The definition of "workplace harassment" is consistent with the Occupational Health and safety Act (OHSA). The definition of "workplace" included the term "online." Have revised to adopt the language used in OHSA	Partially incorporated

		communications technology, that	The quotes are from arbitral	
		is known or ought reasonably to be known to be unwelcome" and includes workplace sexual harassment [subsection 1(1)]."	decisions that help clarify what is and is not workplace harassment.	
11	Definition of Hate	The definition of hate includes "advocating genocide," but doesn't consider how contentious a couple of common protest statements like "land back" and "from the river to the sea" have become.	As a policy instrument it would not be realistic to get into this level of detail to address contentious statements of this nature and whether they amount to discriminatory harassment/Hate.	Not incorporated
12	Definition of Hate	The definitions of hate and discrimination have been significantly expanded, using vague, circular or ambiguous language.	There are no proposed changes to the definition of discrimination The definition of Hate compiles and adopts language from the Criminal Code of Canada and Ontario Human Rights Code	Not incorporated
13	Anonymous complaints	The policy permits anonymous complaints, which may compromise principles of fairness, due process, and accountability.	By directive of the Ministry on Anti-Racism and Anti-Hate, the university is required to have a mechanism to receive anonymous complaints.	Not incorporated
14	Definition of Hate	Specifically noting Antisemitism and the Holocaust, while the language of the rest of the policy is more vague, makes it appear as though this is the only incident/group that can have targeted hate. The proposed language explicitly references antisemitism in the section on "Hate" while not similarly naming other forms of discrimination such as anti-Black racism, Islamophobia, or anti-Indigenous racism.	The provisions on denying or minimizing the holocaust are in the criminal code The University has an Anti-Hate/Anti-Racism Guideline that provides detailed/broad guidance on hate and racism	Not incorporated
15	Definition of Hate	In hate definition, gender is not mentioned.	The definition includes reference to "other similar factor." The language used is from the Criminal Code	Not incorporated
16	Paragraph #2 under "Policy" heading - Policy	Links to Freedom of Expression policy returns 404.	revised	incorporated
17	Definition – discriminatory harassment	The inclusion of Hate as an example of Discriminatory Harassment potentially unduly blurs the distinction between Discrimination, Harassment, and Hate.	The definition of discriminatory harassment is broad enough to encompass acts of Hate.	Not incorporated
18	Section 8 under the Policy heading – Policy	Why is "and/or may pursue a Reprisal complaint through external processes" removed	Under scope and authority, the policy notes: This Policy does not prevent University Members	Not incorporated

		from the original text and how does that protect the individual's rights under the Code, Occupational Health and Safety Act, Collective Agreements, etc.?	from pursuing resolutions or to seek external review of university decisions through external resources and processes, including those offered by the Human Rights Legal Support Centre, the Human Rights Tribunal of Ontario, and the Ontario Labour Relations Board and the Ontario Ombudsman's Office.	
19	Definition of mediation	Any mediation and subsequent agreements should ensure that the rights of Employees are protected in accordance with their Collective Agreement, including the right to representation and the protection of Employee's rights under the relevant Collective Agreement.	The policy notes in the Scope and Authority section: "This Policy and its corresponding Procedures do not override or diminish the rights provided to Employees under applicable Collective Agreements, including the right to academic freedom afforded to Faculty. Collective Agreements will supersede this Policy to the extent there is a conflict."	Not incorporated
20	Scope and Authority section – employee procedures	Perhaps the word "handled" could be replaced by a more appropriate word in scope and authority		Not incorporated
21	Scope and Authority – employee procedures	Extending the "respect policy defined at Ontario Tech" to off-campus individual activities and under broad terms is not acceptable.	The scope of off-campus activities that may be considered part of the Workplace is based on caselaw.	Not Incorporated
22	Step 5, Investigation – employee procedures	The investigator has not been explicitly required to provide the respondent with the final report after the conclusion of the investigation.	The procedures note that the complainant and respondent will receive a report summarizing the investigation findings.	Not incorporated
23	Policy	The University's own Freedom of Expression Policy emphasizes the importance of protecting open dialogue and diverse viewpoints. Revisions to the Respectful Campus Policy should avoid narrowing these rights or discouraging controversial but lawful academic expression.	The Policy notes: The University is committed to providing a campus environment in which all University Members are treated with dignity, and to fostering a climate of understanding and mutual respect. Excellence in the university community is fostered by promoting the freest possible exchange of information, ideas, beliefs and opinions in diverse forms, and it necessarily includes dissemination and discussion of controversial topics and unpopular points of view. However, Freedom of expression and freedom of	Not incorporated

			inquiry must be exercised responsibly, in ways that demonstrate active concern and respect for others, including their ability to participate meaningfully in the exchange of information, ideas, beliefs and opinions (refer to the University's Freedom of Expression Policy).	
24	Definition: reprisal	Expanding harassment to include "reprisal" is inappropriate.	The term "Harassment" in the policy instruments is meant to encompass broad behaviours that are contrary to the policy. Reprisal is a form of harassment directed at someone for attempting to assert their rights under the policy.	Not incorporated
25	Definition of Hate	I welcome the added statement about Antisemitism. However, a definition of Antisemitism is lacking in the draft document.	There is a definition of Antisemitism (as well as Islamophobia, anti-black racism, Anti-Indigenous racism, etc.) in the University's Anti- Hate/Anti-Racism Guidelines.	Not incorporated



BOARD OF GOVERNORS

Governance, Nominations & Human Resources Committee (GNHR)

Minutes of the Public Session of the Meeting of June 13, 2025 12:37 p.m. to 12:45 p.m. Videoconference

Present: Gaurav Singh (Chair), Frank Carnevale (Vice-Chair), Laura Elliott, Mitch

Frazer, Neeraj Grotra, Steven Murphy, Kim Slade, Dwight Thompson

Regrets: None

Staff: Kirstie Ayotte (Secretary), Jamie Bruno, Nicola Crow, Krista Hester,

Jennifer MacInnis, Lori Livingston, Sarah Thrush

Guests: Chelsea Bauer, Mikael Eklund

1. Call to Order

The Vice-Chair called the Public session of the GNHR meeting to order at 12:37 p.m. and read aloud the Land Acknowledgement.

2. Agenda

Upon a motion duly made by D. Thompson and seconded by L. Elliott, the Agenda was approved as presented, including approving and receiving the Consent Agenda and its contents.

3. Conflict of Interest Declaration

There were none.

4. Chair's Remarks

The Chair began by reminding Committee members of some meeting protocols. Public session attendees were welcomed though were noted unable to participate or engage in the meeting

5. President's Remarks

The President welcomed attendees, thanking the Governors for their participation and support over the past academic year. He highlighted the success of Convocation as a celebration of student achievement and provided a brief update on the University's efforts to address financial sustainability.

6. Consent Agenda (M)

- 6.1 Minutes of the Public Session of the Meeting of March 20, 2025* (M)
- 6.2 2024-2025 GNHR Annual Report* (I)

- 6.3 Board Practices Assessment Results* (I)
- 6.4 Annual Pension Plan Report* (I)
- 6.5 Annual Policy Review Update: Policy Against Violence in the Workplace* (I)

The Chair confirmed that the contents of the Consent Agenda were approved and received under Agenda Item #2.

7. Adjournment

There being no other business, and upon a motion duly made by D. Thompson, the Public session of the GNHR meeting adjourned at 2:15 p.m.

Kirstie Ayotte, Assistant University Secretary